### I. INTRODUCTION

This case is hereby removed from state court to federal court because at the time the Complaint was filed, and at the present time, complete diversity of citizenship exists between the parties to this action and the alleged amount in controversy exceeds \$75,000. Accordingly, this Court has original jurisdiction under 28 U.S.C. § 1332(a).

### II. THE STATE COURT ACTION

On May 20, 2011, Plaintiff Frank Allen filed a Complaint for Damages against Defendant RADIOSHACK CORPORATION and DONNA OCAMPO in the Superior Court of the State of California, County of San Francisco, entitled *FRANK ALLEN v. RADIO SHACK CORPORATION, DONNA O'CAMPO* and Does 1 through 100, Case No. CGC-11-511136. The Complaint alleges six causes of action against Defendants including: (1) Disparate Treatment in Violation of FEHA Based on Race and National Original; (2) Retaliation in Violation of FEHA; (3) Age Discrimination in Violation of FEHA; (4) Harassment In Violation of FEHA (Hostile Work Environment); (5) Wrongful Termination in Violation of Public Policy; and (6) Intentional Infliction of Emotional Distress. A true and correct copy of the Complaint is attached hereto as **Exhibit A**.

On June 22, 2011, Defendant filed and served its Answer to Complaint in state court, a true and correct copy of which is attached as **Exhibit B**.

In accordance with 28 U.S.C. § 1446(a), attached hereto as **Exhibit C** and incorporated by reference are copies of the papers originally served on Defendant as well as copies of all other documents maintained on the state court's docket.

Pursuant to 28 U.S.C. § 1146(d), Defendant shall give Plaintiff, through his attorney of record, written notice of the filing of this Notice of Removal, and shall file written notice of the filing of this Notice of Removal with the Clerk of the Superior Court of the County of San Francisco, attaching thereto a copy of this Notice of Removal. A true and correct copy of the Notice of Removal of Action to be filed with the state court is attached as **Exhibit D**.

No further proceedings have been had, and fewer than thirty (30) days have elapsed since this action became removable to this Court. In accordance with 28 U.S.C. § 1446(b), this Notice is timely filed with this Court.

#### III. JOINDER

Defendant is not aware of any other defendant having been served with a copy of the Complaint.

### IV. DIVERSITY JURISDICTION

The state court action is a civil action over which this Court has original jurisdiction under 28 U.S.C. § 1332 based on diversity jurisdiction. The state court action is properly removable to this Court in that it is a civil action between citizens of different states in which the amount in controversy exceeds the sum of \$75,000, exclusive of interest and costs, as explained below.

### A. The Parties' Citizenship

There is complete diversity of citizenship because the operative parties, Plaintiff, Defendant RadioShack Corporation, and Defendant Donna Ocampo are citizens of

different states. The only other defendants identified in Plaintiff's Complaint are fictitious parties identified as "DOES I-100." The citizenship of these defendants is disregarded for purposes of removal. 28 U.S.C. § 1441(a).

As of May 20, 2011, when the Complaint was filed, Plaintiff was a citizen and resident of the State of California. See Complaint, ¶ 1 (Exhibit A).

As of May 20, 2011, when the Complaint was filed, and at the present time, Defendant RadioShack Corporation was and is a Delaware Corporation with its principal place of business in Fort Worth, Texas. Declaration of Kim Bullard in Support of Defendant's Notice of Removal ("Bullard Decl."), ¶ 2. Accordingly, RadioShack Corporation was and is a citizen of Delaware and Texas. 28 U.S.C. § 1332(c)(1).

As of May 20, 2011, when the Complaint was filed, and at the present time, Defendant Donna Ocampo was and is a resident of the state of Colorado. Declaration of Donna Ocampo in Support of Defendant's Notice of Removal ("Ocampo Decl.") ¶¶ 2-3. For diversity purposes, a person is a "citizen" of the state in which he or she is domiciled. *Kantor v. Wellesley Galleries, Ltd,.* 704 F2d 1088, 1090 (9th Cir. 1983). Domicile is determined at the time the lawsuit was filed, not when the cause of action arises. *LeBlanc v. Cleveland*, 248 F3d 95, 100 (2nd Cir. 2001). A person may establish his or her domicile by establishing (i) a fixed habitation or abode in a place (ii) with intent to remain indefinitely. *Lew v. Moss*, 797 F2d 747, 749-750 (9th Cir. 1986); *see also, Kanter v. Warner–Lambert Co.*, 265 F3d 853, 857 (9th Cir. 2001). Donna Ocampo moved to Colorado, where she currently resides, in April, 2011 before this action was filed. It is her intent to remain there indefinitely. Ocampo Decl. ¶ 2-3.

As of May 20, 2011, when the Complaint was filed, and at the present time, no defendant was a citizen or resident of California, and complete diversity exists.

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### B. The Amount in Controversy

The amount in controversy between the parties exceeds the minimum sum of \$75,000 set forth in 28 U.S.C. § 1332(a), exclusive of interest and costs. In this lawsuit, Plaintiff is seeking recovery of lost wages in the form of back pay and front pay, damages for alleged emotional distress, and medical expenses as well as attorney's fees and costs and punitive damages. Complaint, at ¶¶ 61-65, 75-79, 86-88, 90-92, 100, 101, 103-105, 115, 116, 118, Prayer for Relief, page 25. (Exhibit A).

Plaintiff was terminated in April, 2010. Complaint at ¶ 37. In the first four months of 2010 he earned approximately \$25,000. Bullard Decl. at ¶ 3. That yields an average of \$6,250 per month. Plaintiff has been terminated from work at RadioShack for approximately 14 months already. Thus we can safely assume that he will seek at least \$87,500 in back pay. That alone meets the jurisdictional requirement.

Punitive damages are a part of the amount in controversy in a civil action where they are recoverable as a matter of law. See, Simmons v. PCR Tech., 209 F. Supp. 2d 1029, 1033 (N.D. Cal. 2002); Gibson v. Chrysler Corp., 261 F.3d 927, 945 (9th Cir. 2001). FEHA discrimination cases may result in awards of punitive damages which meet the jurisdictional threshold. See, e.g., Roby v. McKesson, 47 Cal. 4th 686 (2009).

Plaintiff's claim for emotional distress damages is also part of the amount in controversy. See Simmons, 209 F. Supp. 2d at 1034 ("emotional distress damages in a successful employment discrimination case may be substantial").

Finally, Plaintiff seeks recovery of attorneys' fees. Complaint, at ¶¶ 65, 79, 102, Prayer for Relief, page 25. (**Exhibit A**). Attorneys' fees are provided to a prevailing plaintiff under the FEHA. Cal. Govt. Code § 12965(b). Courts should include in their

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amount in controversy calculation damages and attorneys' fees that, although not yet accrued, are reasonable to anticipate. Brady v. Mercedes-Benz USA, Inc., 243 F. Supp. 2d 1004, 1009 (N.D. Cal. 2002); see also Simmons, 209 F. Supp. 2d at 1035.

Accordingly, the amount in controversy exceeds the minimum sum of \$75,000 set forth in 28 U.S.C. § 1332(a), exclusive of interest and costs.

#### V. VENUE

The Superior Court of the State of California for the County of San Francisco is located within the Northern District of California. Accordingly, this action is properly removed to this Court. 28 U.S.C. § 84(a); Rule 3-2(d) of the Local Rules for the United States District Court for the Northern District of California.

THEREFORE. Defendant gives notice that the above action, which was pending in the Superior Court of the State of California, County of San Francisco, is hereby removed to this Court.

Dated: June 20, 2011

MILLER LAW GROUP A Professional Corporation

By: Cal Si Adam J. Tullman

> Attorneys for Defendant RADIOSHACK CORPORATION

28 4816-1677-9785, v. 1

**EXHIBIT A** 

	SUMMONS ISSUED			
1	Angela M. Alioto, (SBN 130328) Angela Mia Veronese, (SBN 269942)  FILED San Francisco County Superior Court			
. 2	LAW OFFICES OF JOSEPH L. ALIOTO AND ANGELA ALIOTO	MAY 20 2011		
3	700 Montgomery Street San Francisco, CA 94111-2104	CLERK OF THE COURT		
4	Telephone: (415) 434-8700 Facsimile: (415) 438-4638	BY: Deputy Clerk		
5		P. NATT		
6.	Attorneys for Plaintiff Frank Allen			
7	SUPERIOR COURT OF CALIFORNIA			
8	SAN FRANCISCO COUNTY			
9	UNLIMITED JURISDICTION			
10		CGC-11-511136		
11	Frank Allen,	CASE NO.		
12	Train Thirty	COMPLAINT FOR DAMAGES FOR:		
13	Plaintiff,	1. Disparate Treatment Based on Race/National Origin (FEHA);		
14	VS.	2. Retaliation (FEHA);		
15	Radio Shack Corporation, Donna	3. Discrimination Based on Age		
16	O'Campo and Does 1 through 100	4. Hostile Work Environment		
17	Defendants.	(Harassment) (FEHA);		
18	,	5. Wrongful Termination in Violation of Public Policy		
19 20		6. Intentional Infliction of Emotional Distress		
21		) } JURY TRIAL DEMANDED		
22		}		
23		BY FAX		
24		DI FAX		
25				
26	Plaintiff Frank Allen complains aga	inst Defendants Radio Shack Corporation,		
27	Donna O'Campo and Does 1-100, and demands a trial by jury of all issues and for			
28	causes of alleged actions:			
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1 PARTIES AND JURISDICTION 2 1. At the pertinent times mentioned in this complaint, Plaintiff Frank Allen is a 3 resident of the State of California, County of Alameda. 4 2. Defendant Radio Shack Corporation is an employer doing business in the State of 5 6 California employing approximately 35,000+ employees. Radio Shack Corporation 7 has 4480 company stores in the United States and Mexico, 1240 dealer outlets 8 worldwide and over 940 wireless phone kiosks. 9 3. RadioShack Corporation is a multifaceted, multibillion dollar company, and one of 10 11 the nation's largest retailers of consumer electronics. 12 4. Defendant Donna O'Campo was Defendant's regional manager at the time all acts 13 occurred herein. 14 15 5. At all the pertinent times mentioned in this complaint, Defendants acted with the 16 intent to cause a tortuous effect within the State of California, to the Plaintiff, 17 within the State of California. 18 6. Defendants are directly liable for the harassing conduct of their supervisors, 19 20 managers, division heads and other employees and agents. 21 7. Defendants are directly liable for the discriminatory and retaliatory conduct of 22 their division heads, managers, supervisors and employees agents. 23 8. Plaintiffs are ignorant of the true names or capacities of the defendants sued here 24 25 under the fictitious names DOES 1 through DOES 100. Plaintiffs are informed and 26 believe that each of DOE defendants was responsible in some manner for the 27 occurrences and injuries alleged in this Complaint. 28

- 18. For over 13 years Plaintiff had a stellar performance review. Over the years plaintiff earned several awards and bonus' based on his performance. For the year 2009, Plaintiff's daily sales were so high at his store that he was awarded the #3 store manager of the entire Northwest District, out of about 1500 managers. Three out of fifteen hundred managers.
- 19. Over the past five years, Plaintiff earned an average of \$60,000 thousand dollars a year.
- 20. On or about, December of 2009, Greg Pappas the area Vice-President entered the store with defendant Donna O'Campo, the Human Resources Manager and the President of Loss Prevention. Mr. Pappas was immediately confrontational with the plaintiff. He wanted to know, among other things, how long plaintiff had been employed by Radio Shack. After plaintiff answered him, Pappas looked at him from head to toe and told Plaintiff, "you may have a year left with Radio Shack. You have been in charge of the whole store for awhile. You MAY have another year". Plaintiff was stunned by this threat of termination. For 13 years Plaintiff was a stellar employee, until Defendant's new management decided he did not "fit the right image".
- 21. Plaintiff immediately made a formal complaint about Mr. Pappas' comments and behavior, to his then District Manager Hanni. Plaintiff also made a complaint about Pappas at the next manager's meeting, where district managers Hanni and Defendant O' Campo where present. There were several managers at this meeting from all over California.
- 22. A month later, in January of 2010, Defendant Donna O' Campo was named as

  Plaintiff's new District Manager. She replaced District manager Hanni. Defendant

  O'Campo started working as District manager in or around mid-February 2010.
- 23. On March 23rd, 2010, about one month after becoming District Manager Defendant O'Campo, out of the blue, came into the store and wrote plaintiff up for "cash shortages" that allegedly happened a YEAR prior, under the previous

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- 31. Six days later on April 4th, 2010, Ms. O' Campo returned to the store and told plaintiff "if you don't get rid of your people I will get rid of you".
- 32. Nine days later, on the evening April 13th, 2010, Plaintiff had left the store to make a bank deposit and then went home as he did daily. Plaintiff had left \$120 in his desk drawer as per custom and practice should a customer need change. When plaintiff left the store that evening, the drawer was locked. After plaintiff left, Defendant O' Campo returned to the store. Rosetta, an employee of Defendant Radio Shack, who had a key to the drawer, had just sold some computers and had opened the cash drawer to make some change.
- 33. Defendant O'Campo bagan going through plaintiff's desk and became angry that the cash drawer was not locked. Rosetta, who was very distressed, called her boss, the Plaintiff and informed him that defendant O'Campo was going through his desk.
- 34. The next day Plaintiff called Defendant O'Campo. Defendant O' Campo accused Plaintiff of leaving the cash drawer open. Rosetta had told O'Campo that the she had unlocked the drawer because she had just opened the drawer to make change for a purchase.
- 35. Plaintiff told Defendant O' Campo that he locked the drawer when he left the store the day before and that Rosetta had a key if she needed to get change for a customer. He again explained that when he left the store the previous evening at 5:30pm the drawer was locked. Defendant O' Campo told Plaintiff that she would check with her boss and let him know what the next step would be.
- 36. Plaintiff and defendant O'Campo discussed getting a lock for the door going to the office. O'campo said she would get a lock. Plaintiff then emailed O'Campo reminding her of the lock for the door, but she never replied and did not purchase a lock for the door.
- 37. Two weeks later, on April 27th, 2010, Defendant O' Campo returned to the store with the manager of loss prevention. Defendant O' Campo stated to Plaintiff "give

1	terminated because he "did not fit the image' that Radio Shack now wanted for			
2	their stores. Plaintiff was wrongfully accused of committing a crime. Plaintiff was			
3	treated worse than the non African employees.			
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5	54. At all times herein relevant, the Plaintiff's job performance was always satisfactor			
6	and was usually excellent.			
7	55. Plaintiff has been subjected to discrimination and disparate treatment which			
8	treatment has included but is not limited to the following forms:			
9	(A) Plaintiff was wrongfully written up;			
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12	(B) Plaintiff was threatened by the vice President and the regional			
13	manager;			
	(C) Plaintiff was wrongfully accused of cash shortages and not			
14	protecting property.			
15	(D) Defendants created and maintained an intolerable and hostile work			
16	environment for Plaintiff, including wrongful write-ups, confrontations			
17	by the wrong doers, criminal accusations and condoning the			
18	discrimination;			
19	(E) Defendants disproportionately scrutinized Plaintiff's conduct;			
20	(F) Defendants subjected Plaintiff to less favorable terms and conditions			
21	of his employment based on his age and race and the race of his staff;			
22	(G) Defendants threatened Plaintiff that if he did not "upgrade" his			
3	employees he would be terminated;			
4	(H) Defendants created and condoned a hostile work environment			
.5	leading to Plaintiff's wrongful termination.			
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- 56. The discriminatory acts described pursuant to the preceding paragraphs are part of a longstanding, deep-rooted policy and/or practice by the management of Defendants to discriminate and retaliate against African employees.
- 57. Defendants at all times relevant herein disparately applied its alleged rules to the Plaintiff.
- 58. The managers of Defendants were either aware of the discrimination described herein and took no action to prevent it and/or themselves actively participated in the discrimination. Plaintiff petitioned the management of the Defendants to stop such discriminatory practices. Because such petitions were ignored, the Plaintiff was required to file an administrative complaint with the Department of Fair Employment and Housing, and to initiate the current lawsuit.
- 59. This action is not preempted by the California Workers' Compensation Act because discrimination is not a risk or condition of employment.
- 60. The acts complained of herein were either approved, condoned or taken by one or more managing agents of Defendants, each of whom had the authority to make corporate policy and/or to direct a substantial portion of the Defendants' business.
- 61. Because of the aforesaid acts of Defendants, Plaintiff suffered, and continues to suffer losses of wages/salary, commissions, renewals, bonuses and other employee compensation in an amount that is currently un-ascertained. Plaintiff will therefore request leave of the court to amend this Complaint to state the amount of all such damages when they have been ascertained, or upon proof at the time of trial.

- 62. Plaintiff was held up to great derision and embarrassment with fellow workers, friends, members of the community and family, and have suffered emotional distress because the Defendants demonstrated to Plaintiff that they would not recognize nor accept him as an employee solely because of Plaintiff's race and national origin. Plaintiff is informed and believes that the Defendants and their management acted deliberately for the purposes of injuring him. Defendants, by and through the named Defendants' agents and employees, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in severe mental distress. Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 63. Because of the wrongful acts of Defendants as herein above alleged, Plaintiff has been and will in the future be required to employ physicians and surgeons to examine, treat and care for him and will incur additional medical expenses in an amount to be proven at the time of trial.
- 64. In doing the acts set forth above, Defendants acted as herein alleged with a conscious disregard of Plaintiff right to be free from discrimination and/or disparate treatment. Defendant acted, as alleged, with the malicious intention of depriving the Plaintiff of employment opportunities and benefits that must be accorded to all employees. Defendants have retained and promoted vicious employees and managers known by it to be prejudiced against Americans of African descent. This conduct by Defendants was, and is, despicable, cruel and

1	oppressive. Plaintiff is therefore entitled to an award of punitive damages in an			
2	amount to be proven at trial.			
3 4	65. In bringing this action, Plaintiff has been required to retain the services of counsel.			
5	Pursuant to Government Code § 12965(b), they are entitled to an award of			
6	attorney fees.			
7	WHEREFORE, Plaintiffs pray for judgment as more fully set forth herein below.			
8	SECOND CAUSE OF ACTION			
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10	Cal Govt Code Sections 12900 et sea			
11	(As to Defendants RadioShack Corporation)			
12 13	66. Plaintiff realleges and incorporates all of the facts set forth in paragraphs 1 through			
13	49 with the same force and effect as if fully pleaded at length herein.			
15	67. Jurisdiction in this court is invoked pursuant to California Government Code § §			
16	<b>12900, 12921, 12926, 12940</b> and <b>12965,</b> specifically <b>Section 12940(h</b> [Collectively			
17 18	referred to as "FEHA"].			
19	68. Defendants are not exempted from the statutes cited in this paragraph by any			
20	local, state or federal laws.			
21	69. Defendants regularly employ more than five employees, and are subject to suit			
22	under FEHA for conduct prohibited thereby.			
23				
24	70. At all times herein relevant, Plaintiff's job performance was always satisfactory			
25	and was usually excellent.			
26	71. This is an action for damages based on discrimination and harassment against			
27 28	Plaintiff for having opposed employment practices made unlawful pursuant to the			
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California FAIR EMPLOYMENT AND HOUSING ACT ["FEHA"], i.e., Cal. Gov. Code § § 12900, 12921, 12926, 12940 and 12965, specifically Section 12940(h).

- 72. Plaintiff has engaged in activity protected by **FEHA** by repeatedly and persistently opposing acts by Defendant that violate the **FEHA**.
- 73. Specifically, Plaintiff has opposed acts he reasonably believes to be discrimination and harassment based upon race. For example, Plaintiff was threatened and told to terminate his African American and Hispanic employees because they "did not fit the image' defendants wanted. Plaintiff was told he needed to "upgrade" his staff. Plaintiff was threatened that if he did not do this, he would be terminated. Plaintiff, as the Store Manager felt he had a superb and competent staff, which was reflected by his store's success. Soon after, plaintiff was retaliated against and terminated. As a direct and proximate result of such opposition, the Defendants have taken adverse actions against the Plaintiff that have had a significant impact on his employment status, up to and including his termination.
- 74. Plaintiff is informed and believes and thereon alleges that this cause of action is not preempted by the California Workers' Compensation Act on the grounds that retaliation for opposing unlawful discrimination or harassment is not a risk or condition of his employment.
- 75. As a result of the adverse employment actions by Defendants, including, including but not limited to constructive termination, Plaintiff has, and continue to, suffer a loss of wages/salary, benefits, and other forms of compensation in an amount which is currently unascertained. As a result of the acts of Defendants, the

Plaintiff herein also faces a substantial diminution of his future earning capacity in an amount which is currently unascertained. Plaintiff will therefore request leave of the court to amend this Complaint to state the amount of all such damages when he has been ascertained or upon proof at the time of trial.

- 76. As a result of the adverse employment actions by Defendants, the Plaintiff has been held up to great derision and embarrassment with his fellow workers, customers, friends, members of the community and families, and has suffered emotional distress because Defendants have demonstrated to him that they would not tolerate employees complaining of the illegal employment discrimination and harassment set forth above. Plaintiff is informed and believes that the Defendants and its employees, agents and management acted deliberately for the purposes of injuring him as alleged above. Defendants, by and through their employees, agents and management, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in severe mental distress to the Plaintiff. Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 77. Because of the wrongful acts of Defendants as herein above alleged, Plaintiff has been and will in the future be required to employ physicians and surgeons to examine, treat and care for them and will incur additional medical expenses in an amount to be proven at the time of trial.
- 78. In doing the acts as described above, Defendants, by and through their employees, agents and management knowingly and intentionally retaliated against those

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among its' employees, specifically the Plaintiff, who protested the discrimination and harassment in employment set forth herein. The managing agents of Defendants have made conscious decisions to retaliate against the Plaintiff as a means of making "an example" of him, in the hopes of deterring other employees from coming forward to oppose such illegal activity. In addition, said managing agents have knowingly retained and protected vicious employees, including agents. This conduct by Defendant was, and is, despicable, cruel and oppressive. The Plaintiff is therefore entitled to an award of punitive damages in an amount to be proven at trial.

79. In bringing this action, Plaintiffs have been required to retain the services of counsel. Pursuant to Government Code § 12965(b), they are entitled to an award of attorney fees.

WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below

### **THIRD CAUSE OF ACTION**

Age Discrimination in Violation of FEHA Cal. Govt. Code Sections 12900 et. seq. (As to All Defendants)

- 30. Plaintiff incorporates by reference all of the facts set forth in paragraphs 1 through
  49 with the same force and effect as if fully pleaded at length herein
- 81. Jurisdiction in this court is invoked pursuant to California Government Code § §

  12900, 12921, 12926, 12940 and 12965 [Collectively referred to as "FEHA"].

  Defendant is not exempted from the statutes cited in this paragraph by any local, stat or federal laws.

- 82. Defendant regularly employs more than five employees, and is subject to suit under **FEHA** for conduct prohibited thereby.
- 83. The plaintiff is a member of a class protected by FEHA. He is 56 years of age.
- 84. At all times herein relevant, Plaintiff's job performance was always satisfactory and was usually excellent.
- 85. Defendants terminated the employment of Plaintiff as a result of his

  Age. For example, after Plaintiff was asked by a Vice president, how long he had
  been at Radio Shack, and after looking at plaintiff from Head to toe, told plaintiff
  he might "have another Year with Radio Shack". In fact, a couple months later,
  after 13 years of loyal service, Plaintiff was terminated and replaced by a nonAfrican American 23 year old.
- 86. As a result of the aforesaid discriminatory acts, Plaintiff has suffered and is continuing to suffer a loss of wages/salary, benefits and other employee compensation in an amount which is currently un-ascertained. Plaintiff faces a substantial diminution of her future earning capacity in an amount which is currently un-ascertained. Plaintiff will request leave of the court to amend this Complaint to state the amount of all such damages when they have been ascertained, or upon proof at the time of trial.
- 87. As a result of the aforesaid acts of discrimination, Plaintiff has been held up to great derision and embarrassment with fellow workers, friends, members of the community and family, and has continued to suffer emotional distress. Plaintiff is

informed and believes that the defendant and its management acted deliberately for the purposes of injuring her.

- 88. Defendant, by and through its managing agents and employees, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in additional, severe mental distress.

  Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 89. Plaintiff is informed and believes and thereon allege that this cause of action is not preempted by the California Workers' Compensation Act on the grounds that employment discrimination is not a risk or condition of her employment.
- 90. Because of the wrongful acts of Defendant as herein above alleged, Plaintiff has been and/ or will in the future be required to employ physicians and mental health care professionals to examine, treat and care for her and will incur additional medical expenses in an amount to be proven at the time of trial.
- 91. In doing the acts set forth above, Defendant and its managing agents acted as herein alleged with a conscious disregard of Plaintiff's right to be free from discrimination based on age. Defendant acted, as alleged, with the malicious intention of depriving Plaintiff of employment opportunities and benefits that must be accorded to all employees regardless of their age. Defendant has retained, promoted and coddled employees and managers known by it to be vicious in that they are prejudiced against older employees. This conduct by Defendant was, and

1	is, despicable, cruel and oppressive. The Plaintiff is therefore entitled to an awar				
2	of punitive damages in an amount to be proven at trial.				
3	92. In bringing this action, Plaintiff has been required to retain the services of				
5	1 D				
6	and hereby requests an award of attorney fees and costs of suit.				
7	WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below.				
8	FOURTH CAUSE OF ACTION				
9	Harassment In Violation of FEHA				
10	(Hostile Work Environment)				
11	Cal. Govt. Code Sections 12900 et. seq.  (As to all Defendants)				
12	93. Plaintiff realleges and incorporate paragraphs 1 through 49 with the same force				
13	and effect as if fully pleaded at length herein				
14	94. Jurisdiction in this court is invoked pursuant to the FAIR EMPLOYMENT AND				
15	HOUSING ACT ["FEHA "], i.e., Gov. Code § § 12900, 12921, 12926, 12940 and				
16	12965, specifically Section 12940 (j).				
17	95. Defendants are comprised of entities and/or individuals with an obligation under				
18	the law to assure an environment in which its employees can work freely without				
19	fear of harassment.				
20	96. Defendants have allowed, condoned, enabled and refused to prevent the				
21	harassment of Plaintiff, by themselves, agents, managerial, and other employees.				
22	Said harassment included, but is not limited to, the following:				
23	(A) Making false accusations against the plaintiff:				
24	(B) Falsely accusing plaintiff of criminal acts				
25	(C) Threatening Plaintiff with termination when he would not engage				
26	in racial discrimination;				
27	(D) Verbally threatening Plaintiff with threat of termination;				
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1	(E) Ongoing excessive and disproportionate scrutiny of Plaintiff		
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3	(F) Defendants at all times creating and condoning a hostile work		
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5	(G) Defendants at all times creating and condoning an intolerable		
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7	(H) Public humiliation of Plaintiffs by Defendants;		
8	(I) Racially motivated disrespect toward Plaintiff; and		
9	(J) Wrongfully Terminating Plaintiff and replacing plaintiff with 23		
10	year old non-African American.		
11	97. The harassment described in the preceding paragraphs and otherwise described		
12	in detail herein was and is so severe and pervasive that the working conditions of		
13	· []		
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15	98. The harassment described in the preceding paragraph and otherwise described in		
16	detail herein was and is so severe and pervasive that the working conditions of the		
17	Plaintiff constituted "harassment" of the Plaintiff pursuant to Cal. Govt. Code		
18	Section Section 12940 (j).		
19			
20	99. Repeatedly and persistently at all times relevant herein, the Plaintiff herein		
21	complained to management of the harassment described herein, but such		
22	harassment never and has never ceased.		
23			
24	Defendants, Plaintiff has, and continues to		
25	suffer, monetary damages in an amount which is currently unascertained.		
26	Plaintiffs will therefore request leave of the court to amend this Complaint to state		
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the amount of all such damages when they have been ascertained, or upon proof at the time of trial.

- 101. As a result of the aforesaid racial harassment, the Plaintiff has been held up to great derision and embarrassment with his fellow workers, customers, friends, members of the community and families, and has suffered emotional distress because Defendants demonstrated to him that they would not recognize nor accept him as an employee solely because of their race and religion and in retaliation for plaintiff's complaints. Plaintiff is informed and believes that the Defendants and their management acted deliberately for the purposes of injuring him as alleged above. Defendants, by and through their managing agents and employees, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in severe mental distress. Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 102. Plaintiff is informed and believes and thereon alleges that this cause of action is not preempted by the California Workers' Compensation Act on the grounds that harassment is not a risk or condition of Plaintiffs' employment.
- 103. Because of the wrongful acts of Defendants as herein above alleged, Plaintiff has been and will in the future be required to employ physicians and surgeons to examine, treat and care for him and will incur additional medical expenses in an amount to be proven at the time of trial.

4. In doing the acts set forth above, Defendants acted as herein alleged with a
conscious disregard of Plaintiff's rights to a non discriminatory work place.
Defendants have acted in utter disregard of their obligations under the law. The
managing agents of Defendants have made conscious decisions to establish and to
allow the existence of a hostile work place. In addition, said managing agents
have knowingly retained and promoted vicious employees, including managers,
known by Defendants to be prejudiced against African American employees and
employees over the age of 40. This conduct by Defendants was, and is, despicable
cruel and oppressive. The Plaintiff is therefore entitled to an award of punitive
damages in an amount to be proven at trial.

105. In bringing this action, Plaintiff has been required to retain the services of counsel. Pursuant to Government Code § 12965(b), they are entitled to an award of attorney fees.

WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below

### **FIFTH CAUSE OF ACTION**

# Wrongful Termination in Violation of Public Policy (AS TO Defendants Radio Shack)

- 106. The facts alleged in paragraphs 1 through 49 are hereby incorporated by reference with the same force and effect as if fully pleaded at length herein.
- 107. Jurisdiction is invoked in this court pursuant to the California Supreme Court case of Tameny v. Atlantic Richfield Company (1980) 27 Cal. 3d 167.
- 108. There is a fundamental and well established public policy of this state against discrimination in employment on the basis of race, age, sex and national origin.

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1 SIXTH CAUSE OF ACTION 2 Intentional Infliction of Emotional Distress - Common Law 3 (As to All Defendants) 126. Plaintiff realleges and incorporates paragraphs 1 through 49 with the same force 4 and effect as if full pleaded at length herein. 5 6 127 . This is an action for damages pursuant to the common law of the State of 7 California as mandated by the California Supreme Court in the decision of Rojo v. 8 Kliger, (1990) 52 Cal. 3d 65. 9 128. The acts of Defendants and agents, including managers of Defendant, as 10 described above, were extreme and outrageous. This includes, but is not limited to, 11 12 the following: 13 (A) Wrongful termination based on Age and Race; 14 (B) Falsely accusing plaintiff of criminal acts: 15 16 (C) Plaintiff was threatened and told to terminate his African American and 17 Hispanic employees because they "did not fit the image' defendants 18 wanted. Plaintiff was told he needed to "upgrade" his staff. Plaintiff was 19 threatened that if he did not do this, he would be terminated. Plaintiff, as 20 21 the Store Manager felt he had a superb and competent staff, which was 22 reflected by his store's success. Plaintiff, rightfully and loyally defended 23 his staff. Soon after, plaintiff was retaliated against and terminated; 24 25 (D)Hostile work environment, 26 WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below. 27 28

1 **DEMAND FOR JURY TRIAL** Plaintiff Frank Allen hereby demands trial of this matter by jury. 2 3 PRAYER FOR RELIEF 4 WHEREFORE, Plaintiff Frank Allen prays for relief as follows: 5 129. For compensatory damages; 6 130. For monetary damages to compensate for the emotional distress suffered by 7 8 Plaintiff; 9 131. For punitive damages in an amount appropriate to punish Defendants for their 10 wrongful and malicious conduct and to set an example for others; 11 For prejudgment and post-judgment interest accrued to date; 132. 12 13 133. For costs of suit incurred herein; 14 134. For attorneys fees and costs pursuant to California Government Code 15 § 12965(b) and other provisions of law; and 16 135. For such other relief that this Court may deem just and proper. 17 18 19 Dated: LAW OFFICES OF MAYOR JOSEPH L. **ALIOTO & ANGELA ALIOTO** 20 21 22 23 Attorney for Plaintiff Frank Allen 24 25 26 27 28

Tracy Thompson (SBN 88173) 1 tt@millerlawgroup.com Adam J. Tullman (SBN 235694) 2 JUN 2 1 2011 ait@millerlawgroup.com MILLER LAW GROUP CLERK OF THE COURT A Professional Corporation 5V VESLEY RAMPIEZ 111 Sutter Street, Suite 700 San Francisco, CA 94104 Tel. (415) 464-4300 Fax (415) 464-4336 6 Attorneys for Defendant 7 RADIOSHACK CORPORATION 8 9 SUPERIOR COURT OF THE STATE OF CALIFORNIA 10 SAN FRANCISCO COUNTY SUPERIOR COURT 11 12 Case No.: CGC-11-511136 FRANK ALLEN, MILLER LAW GROUP PROPESSIONAL CORPORATION SAN FRANCISCO, CALIFORNIA 13 **DEFENDANT RADIOSHACK** Plaintiff. 14 **CORPORATION'S ANSWER TO** PLAINTIFF'S COMPLAINT 15 16 RADIO SHACK CORPORATION, DONNA 17 O'CAMPO and Does 1 through 100, Complaint filed: May 20, 2011 18 Defendants. 19 20 21 22 23 24 25 26 27 28 DEFENDANT RADIOSHACK CORPORATIONS ANSWER TO PLAINTIFF'S COMPLAINT

Case No.: CGC-11-511136

Defendant RADIOSHACK CORPORATION hereby answers Plaintiff FRANK ALLEN's Complaint as follows:

### **GENERAL DENIAL**

Pursuant to Section 431.30(d) of the California Code of Civil Procedure, Defendant hereby answers the Complaint by generally denying each and every allegation contained therein. Defendant further denies that Plaintiff has been damaged in any sum whatsoever, or that Plaintiff is entitled to the relief requested or to any other relief, or that Plaintiff has sustained any injury, damage, or loss by reason of any act, omission, or negligence on the part of Defendant, or by reason of any act, omission, or negligence on the part of any of Defendant's agents, servants, or employees. Defendant further denies that it is liable to Plaintiff under any theory, including, without limitation, the theories of liability asserted in the Complaint.

### AFFIRMATIVE DEFENSES

Defendant asserts the following affirmative defenses:

### **FIRST AFFIRMATIVE DEFENSE**

(Statute of Limitations)

As a separate and affirmative defense to each and every cause of action set forth in Plaintiff's Complaint, Defendant alleges that Plaintiff's claims are barred or limited by the applicable statute(s) of limitations, including, but not limited to, California Government Code sections 12960 and 12965(b), and California Code of Civil Procedure sections 335.1 and 340.

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### SECOND AFFIRMATIVE DEFENSE

### (Exhaustion of Administrative Remedies)

As a separate and affirmative defense to the First through Fifth causes of action set forth in Plaintiff's Complaint, Defendant alleges that Plaintiff has failed to comply with applicable procedural and administrative prerequisites, including the exhaustion of his administrative remedies with State and/or Federal agencies, the exhaustion of which is a condition precedent to the maintenance of this action.

### THIRD AFFIRMATIVE DEFENSE

### (Waiver and Estoppel)

As a separate and affirmative defense to each and every cause of action set forth in Plaintiff's Complaint, Defendant alleges that Plaintiff, by his acts and omissions, has waived and/or is estopped to allege the matters set forth in the Complaint.

### **FOURTH AFFIRMATIVE DEFENSE**

### (Doctrine of Unclean Hands)

As a separate and affirmative defense to each and every cause of action set forth in Plaintiff's Complaint, Defendant alleges that Plaintiff is barred from any relief by the doctrine of unclean hands.

#### FIFTH AFFIRMATIVE DEFENSE

(Workers' Compensation Preemption)

As a separate and affirmative defense to each and every cause of action set forth in Plaintiff's Complaint, Defendant alleges that if Plaintiff has suffered physical and/or emotional injury related to his employment with Defendant, his exclusive remedy for such injury is provided by California Labor Code section 3200, *et seq.*, and/or any other workers' compensation laws.

#### SIXTH AFFIRMATIVE DEFENSE

(Failure to Mitigate)

As a separate and affirmative defense to each and every cause of action set forth in Plaintiff's Complaint, Defendant alleges that Plaintiff has failed to mitigate the damages alleged in the Complaint.

### SEVENTH AFFIRMATIVE DEFENSE

(After Acquired Evidence)

As a separate and affirmative defense to the First through Fifth causes of action set forth in Plaintiff's Complaint, Defendant alleges that any recovery on Plaintiff's Complaint, or any purported claim alleged therein, is barred to the extent that the Defendant has discovered, or will discover, additional evidence indicating that Plaintiff has engaged in conduct before or while employed by Defendant that precludes or limits his assertion of the claims for relief and/or damages presented therein.

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#### EIGHTH AFFIRMATIVE DEFENSE

(Failure to Use Corrective Measures)

As a separate and affirmative defense to each and every cause of action set forth in Plaintiff's Complaint, Defendant alleges that Plaintiff is barred from any recovery because Defendant had in place and implemented, in good faith, policies, procedures and other measures that reasonably should have prevented the conduct Plaintiff alleges, and that Plaintiff unreasonably failed to invoke those measures or take other corrective actions to stop the alleged conduct.

#### NINTH AFFIRMATIVE DEFENSE

(Defendant's Appropriate Corrective Action)

As a separate and affirmative defense to each and every cause of action set forth in Plaintiff's Complaint, Defendant alleges that Plaintiff is barred from any recovery because Defendant had implemented one or more policies prohibiting the alleged acts and/or otherwise made good faith efforts to comply with applicable law and took immediate and appropriate corrective action when it became aware of the conduct alleged by Plaintiff.

# TENTH AFFIRMATIVE DEFENSE

(Privilege)

As a separate and affirmative defense to the Sixth cause of action set forth in Plaintiff's Complaint, Defendant's conduct was privileged.

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## **RESERVATION OF RIGHTS**

Defendant has not yet completed discovery of all the facts and circumstances regarding the subject matter of the Complaint, and, accordingly, reserves the right to amend, modify, revise or supplement this Answer, and to assert such additional affirmative defenses that may appear and prove applicable during the course of this litigation.

WHEREFORE, Defendant prays for judgment as follows:

- That Plaintiff take nothing by this action; 1.
- That the Complaint be dismissed in its entirety with prejudice, and 2. judgment entered in favor of Defendant;
  - That Defendant be awarded its costs of suit: 3.
  - That Defendant be awarded its attorneys' fees according to proof; and 4.
- That the Court award Defendant such other and further relief as the 5. Court may deem proper.

Dated: June 21, 2011

4840-6730-1385, v. 1

MILLER LAW GROUP A Professional Corporation

Adam J. Tullman

Attorneys for Defendant RADIOSHACK

CORPORATION

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#### PROOF OF SERVICE

I, Pamela A. Leonard, declare that I am employed at Miller Law Group, A Professional Corporation, whose address is 111 Sutter Street. Suite 700, San Francisco, CA 94104; I am over the age of eighteen (18) years and am not a party to this action. On the below date, by the method noted below, I served the following document(s):

#### DEFENDANT RADIOSHACK CORPORATION'S ANSWER TO PLAINTIFF'S COMPLAINT

on the interested parties in this action by placing a true and correct copy thereof, enclosed in a sealed envelope addressed as follows:

Angela M. Alioto, Esq. Angela Mia Veronese, Esq. Law Offices of Joseph L. Alioto and Angela Alioto 700 Montgomery Street San Francisco, CA 94111-2104

Tel: (415) 434-8700 Fax: (415) 438-4638 Attorney for Plaintiff: Frank Allen

- By placing a true copy thereof enclosed in a sealed envelope with  $\boxtimes$ BY MAIL: postage thereon fully prepaid, in the ordinary course of business for collection and mailing on this date at Miller Law Group, 111 Sutter Street, San Francisco, California. I declare that I am readily familiar with the business practice of Miller Law Group for collection and processing of correspondence for mailing with the United States Postal Service and that the correspondence would be deposited with the United States Postal Service that same day in the ordinary course of business.
- [State] I declare under penalty of perjury under the laws of the State of California that  $\boxtimes$ the foregoing is true and correct.

Executed on June 21, 2011 at San Francisco, California.

Pamela A. Leonard

**EXHIBIT C** 

•	SUM-100
SUMMONS (CITACION JUDICIAL) NOTICE TO DEFENDANT:	FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)
(AVISO AL DEMANDADO):	
Radio Shack Corporation, Donna O'Campo and Does 1-100	
YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):	•
Frank Allen	
NOTICEI You have been sued. The court may decide against you without your being	g heard unless you respond within 30 days. Read the information
You have 30 CALENDAR DAYS after this summons and legal papers are served served on the plaintiff. A letter or phone call will not protect you. Your written responses there may be a court form that you can use for your response. You can find it Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the court clerk for a fee waiver form. If you do not file your response on time, you may be taken without further warning from the court.  There are other legal requirements. You may want to call an attorney right away referral service. If you cannot afford an attorney, you may be eligible for free legal sethese nonprofit groups at the California Legal Services Web site (www.lewhelpcalifd (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or courty be rased costs on any settlement or arbitration award of \$10,000 or more in a civit case. The JAVISOI Lo han demendado. Si no responde dentro de 30 dilas, la corte puede decontinuación.  Tiene 30 DIAS DE CALENDARIO después de que le entreguen esta citación y procrita y hacar que se entregue una copia al demandante. Una carta o una ilamada tien formatio legal correcto si desea que processen su caso en la corte. Es posible que puede encontrar estos formularios de la corte y más información en el Centro de Abiblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede podrá quitar su sueldo, dinero y blenes sin más advertencia.  Hay otros requisitos legales. Es recomendable que llame a un abogado inmediata remisión a shogados. Si no puede pagar a un abogado, es posible que cumple con programa de servicios legales. Es recomendable que llame a un abogado inmediata remisión a shogados. Si no puede pagar a un abogado, es posible que cumple con programa de servicios legales. Es recomendable que llame a un abogado inmediata remisión a shogados locales. AVISO: Por ley, la corte tiene derecho a reclamar las cuelquel recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o pagar el gravemen de la corte ant	nese court forms and more information at the California Courts the courthouse nearest you. If you cannot pay the filing fee, ask any lose the case by default, and your wages, money, and property any lose the case by default, and your wages, money, and property any lose the case by default, and your wages, money, and property any lose the case by default, and your wages, money, and property any lose the case by default, and your wages, money, and property any lose the case incomes from a nonprofit legal services program. You can locate winds. Or The court of the services program. You can locate winds. Or The court of the services program. You can locate winds. Or The court has a statutory lien for waived fees and court's lien must be paid before the court will dismiss the case. Sidir on su contre sin escuchar su versión. Lee le información a sepelas legales para presentar una respuesta por escrito tiene que estar eleifonica no lo protegen. Su respuesta por escrito tiene que estar eleifonica no lo protegen. Su respuesta por escrito tiene que estar eleifonica no lo protegen. Su respuesta por escrito tiene que estar eleifonica no lo protegen. Su respuesta por escrito tiene que estar eleifonica no lo protegen. Su respuesta por escrito tiene que estar eleifonica no lo protegen. Su respuesta por locate de la corte la eleifonica de presentación, pida al secretario de la corte la elempo, puede perder el caso por incumplimiento y la corta le la servente eleifonica legal services, eleifonica legal services, eleifonica con la corte o el mones de lucro en el sitio web de California Legal Services, elempo eleifonica en contacto con la corte o el mones de lucro en el sitio web de California Legal Services, elempo eleifonica en contacto con la corte o el mones de la corte el eleifonica legal services el mones de la corte o el mones de la corte el eleifonica legal services e
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DATE: MAY 2 0 2011 Clerk, (Secre	tario) P. NATI (Adjunto)
(For proof of service of this summons, use Proof of Service of Summons (f (Para prueba de entrega de esta citatión use el formulario Proof of Service	Of Octaning to 1 to 2
NOTICE TO THE PERSON SERVED: You as an individual defendant.  2. as the person sued under the fix	u are solved
3. On behalf of (specify):  under: CCP 416.10 (corporation  CCP 416.20 (defunct on  CCP 416.40 (association	orporation) CCP 416.70 (conservates)
other (specify):  4. by personal delivery on (date):	Page 1 of 1

· ·	SUM-100
SUMMONS (CITACION JUDICIAL)	FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)
NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):	
Radio Shack Corporation, Donna O'Campo and Does 1-100	
YOU ARE BEING SUED BY PLAINTIFF: "LO ESTÁ DEMANDANDO EL DEMANDANTE):	
Frank Allen	
below.  You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a served on the plaintiff. A letter or phone call will not protect you. Your written response must be in a case. There may be a court form that you can use for your response. You can find these court form Online Self-Help Cenier (www.courtinfo.cs.gov/selfheip), your county law library, or the court form online Self-Help Cenier (www.courtinfo.cs.gov/selfheip), your response on time, you may be alse welver form. If you do not file your response on time, you may be alse welver for the case may be taken without further warning from the court.  There are other legal requirements. You may went to call an attorney right away. If you do not ke referred service. If you cannot afford an eltorney, you may be aligible for free legal services from a literace only for groups at the California Legal Services Web site (www.lewhalpositiornia.org), the C (www.courtinfo.cs.gov/selfheip), or by contacting your local court or county bar association. NOTE: costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must AVISOL to han demandate. Si no responde dentre de 30 dies, is corie puede dealth en au controntionation.  Tiene 30 DIAS DE CALENDARIO después de que le entreguen este classion y papeles legales processes y hacer que se antregue une copie el demandante. Una carita o una liamade teleficiale no lo per formeto legal correcto al desse que processes su caso en la corte. Es posible que leys un forme Puede encontrar estos formularios de la corte y mês hitomación en el Centro de Ayuda de les Corte.	proper legal form if you want the court to hear your as and more information at the California Couris is nearest you. If you cannot pay the filing les, ask to by default, and your wages, money, and property mow an attorney, you may want to call an attorney monprofit legal services program. You can locate calliomia Courts Online Salf-Halp Center. The court has a statutory lien for walved fees and at be paid before the court will dismise the case. It is also statuted to be a sufficient of the case. It is necessarily a presentar an erappusate por escrito an estal protegen. Su respueste por escrito tiene que estar utario que usted punde unar para su respuesta.
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8	UNLIMITED JURISDICTION		
9	ii		
10		CGC-11-511136	
. 11	Frank Allen,	COMPLAINT FOR DAMAGES FOR:	
12.	77. 116	,	
13	Plaintiff,	1. Disparate Treatment Based on Race/National Origin (FEHA);	
14	VS.	2. Retaliation (FEHA);	
15	Radio Shack Corporation, Donna	3. Discrimination Based on Age	
16	O'Campo and Does 1 through 100	4. Hostile Work Environment (Harassment) (FEHA);	
17	Defendants.	}	
18		5. Wrongful Termination in Violation of Public Policy	
19 20	·	6. Intentional Infliction of Emotional Distress	
20		)   JURY TRIAL DEMANDED	
•		}	
22		BY FAX	
23	· .		
24			
25	Plaintiff Frank Allen complains against Defendants Radio Shack Corporation,		
26	Donna O'Campo and Does 1-100, and demands a trial by jury of all issues and for		
27			
28	causes of alleged actions:	1	
		1	

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PARTIES AND JURISDICTION 2 1. At the pertinent times mentioned in this complaint, Plaintiff Frank Allen is a resident of the State of California, County of Alameda. 4 2. Defendant Radio Shack Corporation is an employer doing business in the State of 5 6 California employing approximately 35,000+ employees. Radio Shack Corporation 7 has 4480 company stores in the United States and Mexico, 1240 dealer outlets 8 worldwide and over 940 wireless phone kiosks. 9 10 3. RadioShack Corporation is a multifaceted, multibillion dollar company, and one of 11 the nation's largest retailers of consumer electronics. 12 4. Defendant Donna O'Campo was Defendant's regional manager at the time all acts 13 occurred herein. 14 15 5. At all the pertinent times mentioned in this complaint, Defendants acted with the 16 intent to cause a tortuous effect within the State of California, to the Plaintiff, 17 within the State of California. 18 6. Defendants are directly liable for the harassing conduct of their supervisors, 19 20 managers, division heads and other employees and agents. 21 7. Defendants are directly liable for the discriminatory and retaliatory conduct of 22 their division heads, managers, supervisors and employees agents. 23 8. Plaintiffs are ignorant of the true names or capacities of the defendants sued here 24 25 under the fictitious names DOES 1 through DOES 100. Plaintiffs are informed and 26 believe that each of DOE defendants was responsible in some manner for the 27 occurrences and injuries alleged in this Complaint. 28

- 18. For over 13 years Plaintiff had a stellar performance review. Over the years plaintiff earned several awards and bonus' based on his performance. For the year 2009, Plaintiff's daily sales were so high at his store that he was awarded the #3 store manager of the entire Northwest District, out of about 1500 managers. Three out of fifteen hundred managers.
- 19. Over the past five years, Plaintiff earned an average of \$60,000 thousand dollars a year.
- 20. On or about, December of 2009, Greg Pappas the area Vice-President entered the store with defendant Donna O'Campo, the Human Resources Manager and the President of Loss Prevention. Mr. Pappas was immediately confrontational with the plaintiff. He wanted to know, among other things, how long plaintiff had been employed by Radio Shack. After plaintiff answered him, Pappas looked at him from head to toe and told Plaintiff, "you may have a year left with Radio Shack. You have been in charge of the whole store for awhile. You MAY have another year". Plaintiff was stunned by this threat of termination. For 13 years Plaintiff was a stellar employee, until Defendant's new management decided he did not "fit the right image".
- 21. Plaintiff immediately made a formal complaint about Mr. Pappas' comments and behavior, to his then District Manager Hanni. Plaintiff also made a complaint about Pappas at the next manager's meeting, where district managers Hanni and Defendant O' Campo where present. There were several managers at this meeting from all over California.
- 22. A month later, in January of 2010, Defendant Donna O' Campo was named as Plaintiff's new District Manager. She replaced District manager Hanni. Defendant O'Campo started working as District manager in or around mid-February 2010.
- 23. On March 23rd, 2010, about one month after becoming District Manager

  Defendant O'Campo, out of the blue, came into the store and wrote plaintiff up
  for "cash shortages" that allegedly happened a YEAR prior, under the previous

employees.

- 31. Six days later on April 4th, 2010, Ms. O' Campo returned to the store and told plaintiff "if you don't get rid of your people I will get rid of you".
- 32. Nine days later, on the evening April 13th, 2010, Plaintiff had left the store to make a bank deposit and then went home as he did daily. Plaintiff had left \$120 in his desk drawer as per custom and practice should a customer need change. When plaintiff left the store that evening, the drawer was locked. After plaintiff left, Defendant O' Campo returned to the store. Rosetta, an employee of Defendant Radio Shack, who had a key to the drawer, had just sold some computers and had opened the cash drawer to make some change.
- 33. Defendant O'Campo bagan going through plaintiff's desk and became angry that the cash drawer was not locked. Rosetta, who was very distressed, called her boss, the Plaintiff and informed him that defendant O'Campo was going through his desk.
- 34. The next day Plaintiff called Defendant O'Campo. Defendant O' Campo accused Plaintiff of leaving the cash drawer open. Rosetta had told O'Campo that the she had unlocked the drawer because she had just opened the drawer to make change for a purchase.
- 35. Plaintiff told Defendant O' Campo that he locked the drawer when he left the store the day before and that Rosetta had a key if she needed to get change for a customer. He again explained that when he left the store the previous evening at 5:30pm the drawer was locked. Defendant O' Campo told Plaintiff that she would check with her boss and let him know what the next step would be.
- 36. Plaintiff and defendant O'Campo discussed getting a lock for the door going to the office. O'campo said she would get a lock. Plaintiff then emailed O'Campo reminding her of the lock for the door, but she never replied and did not purchase a lock for the door.
- 37. Two weeks later, on April 27th, 2010, Defendant O' Campo returned to the store with the manager of loss prevention. Defendant O' Campo stated to Plaintiff "give

terminated because he "did not fit the image' that Radio Shack now wanted for their stores. Plaintiff was wrongfully accused of committing a crime. Plaintiff was treated worse than the non African employees.

- 54. At all times herein relevant, the Plaintiff's job performance was always satisfactory and was usually excellent.
- 55. Plaintiff has been subjected to discrimination and disparate treatment which treatment has included but is not limited to the following forms:
  - (A) Plaintiff was wrongfully written up;
  - (B) Plaintiff was threatened by the vice President and the regional manager;
  - (C) Plaintiff was wrongfully accused of cash shortages and not protecting property.
  - (D) Defendants created and maintained an intolerable and hostile work environment for Plaintiff, including wrongful write-ups, confrontations by the wrong doers, criminal accusations and condoning the discrimination;
  - (E) Defendants disproportionately scrutinized Plaintiff's conduct;
  - (F) Defendants subjected Plaintiff to less favorable terms and conditions of his employment based on his age and race and the race of his staff;
  - (G) Defendants threatened Plaintiff that if he did not "upgrade" his employees he would be terminated;
  - (H) Defendants created and condoned a hostile work environment leading to Plaintiff's wrongful termination.

- 56. The discriminatory acts described pursuant to the preceding paragraphs are part of a longstanding, deep-rooted policy and/or practice by the management of Defendants to discriminate and retaliate against African employees.
- 57. Defendants at all times relevant herein disparately applied its alleged rules to the Plaintiff.
- 58. The managers of Defendants were either aware of the discrimination described herein and took no action to prevent it and/or themselves actively participated in the discrimination. Plaintiff petitioned the management of the Defendants to stop such discriminatory practices. Because such petitions were ignored, the Plaintiff was required to file an administrative complaint with the Department of Fair Employment and Housing, and to initiate the current lawsuit.
- 59. This action is not preempted by the California Workers' Compensation Act because discrimination is not a risk or condition of employment.
- 60. The acts complained of herein were either approved, condoned or taken by one or more managing agents of Defendants, each of whom had the authority to make corporate policy and/or to direct a substantial portion of the Defendants' business.
- 61. Because of the aforesaid acts of Defendants, Plaintiff suffered, and continues to suffer losses of wages/salary, commissions, renewals, bonuses and other employee compensation in an amount that is currently un-ascertained. Plaintiff will therefore request leave of the court to amend this Complaint to state the amount of all such damages when they have been ascertained, or upon proof at the time of trial.

- 62. Plaintiff was held up to great derision and embarrassment with fellow workers, friends, members of the community and family, and have suffered emotional distress because the Defendants demonstrated to Plaintiff that they would not recognize nor accept him as an employee solely because of Plaintiff's race and national origin. Plaintiff is informed and believes that the Defendants and their management acted deliberately for the purposes of injuring him. Defendants, by and through the named Defendants' agents and employees, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in severe mental distress. Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 63. Because of the wrongful acts of Defendants as herein above alleged, Plaintiff has been and will in the future be required to employ physicians and surgeons to examine, treat and care for him and will incur additional medical expenses in an amount to be proven at the time of trial.
- 64. In doing the acts set forth above, Defendants acted as herein alleged with a conscious disregard of Plaintiff right to be free from discrimination and/or disparate treatment. Defendant acted, as alleged, with the malicious intention of depriving the Plaintiff of employment opportunities and benefits that must be accorded to all employees. Defendants have retained and promoted vicious employees and managers known by it to be prejudiced against Americans of African descent. This conduct by Defendants was, and is, despicable, cruel and

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California FAIR EMPLOYMENT AND HOUSING ACT ["FEHA"], i.e., Cal. Gov. Code § § 12900, 12921, 12926, 12940 and 12965, specifically Section 12940(h).

- 72. Plaintiff has engaged in activity protected by FEHA by repeatedly and persistently opposing acts by Defendant that violate the FEHA.
- 73. Specifically, Plaintiff has opposed acts he reasonably believes to be discrimination and harassment based upon race. For example, Plaintiff was threatened and told to terminate his African American and Hispanic employees because they "did not fit the image' defendants wanted. Plaintiff was told he needed to "upgrade" his staff. Plaintiff was threatened that if he did not do this, he would be terminated. Plaintiff, as the Store Manager felt he had a superb and competent staff, which was reflected by his store's success. Soon after, plaintiff was retaliated against and terminated. As a direct and proximate result of such opposition, the Defendants have taken adverse actions against the Plaintiff that have had a significant impact on his employment status, up to and including his termination.
- 74. Plaintiff is informed and believes and thereon alleges that this cause of action is not preempted by the California Workers' Compensation Act on the grounds that retaliation for opposing unlawful discrimination or harassment is not a risk or condition of his employment.
- 75. As a result of the adverse employment actions by Defendants, including, including but not limited to constructive termination, Plaintiff has, and continue to, suffer a loss of wages/salary, benefits, and other forms of compensation in an amount which is currently unascertained. As a result of the acts of Defendants, the

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Plaintiff herein also faces a substantial diminution of his future earning capacity in an amount which is currently unascertained. Plaintiff will therefore request leave of the court to amend this Complaint to state the amount of all such damages when he has been ascertained or upon proof at the time of trial.

- 76. As a result of the adverse employment actions by Defendants, the Plaintiff has been held up to great derision and embarrassment with his fellow workers, customers, friends, members of the community and families, and has suffered emotional distress because Defendants have demonstrated to him that they would not tolerate employees complaining of the illegal employment discrimination and harassment set forth above. Plaintiff is informed and believes that the Defendants and its employees, agents and management acted deliberately for the purposes of injuring him as alleged above. Defendants, by and through their employees, agents and management, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in severe mental distress to the Plaintiff. Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 77. Because of the wrongful acts of Defendants as herein above alleged, Plaintiff has been and will in the future be required to employ physicians and surgeons to examine, treat and care for them and will incur additional medical expenses in an amount to be proven at the time of trial.
- 78. In doing the acts as described above, Defendants, by and through their employees, agents and management knowingly and intentionally retaliated against those

among its' employees, specifically the Plaintiff, who protested the discrimination and harassment in employment set forth herein. The managing agents of Defendants have made conscious decisions to retaliate against the Plaintiff as a means of making "an example" of him, in the hopes of deterring other employees from coming forward to oppose such illegal activity. In addition, said managing agents have knowingly retained and protected vicious employees, including agents. This conduct by Defendant was, and is, despicable, cruel and oppressive. The Plaintiff is therefore entitled to an award of punitive damages in an amount to be proven at trial.

79. In bringing this action, Plaintiffs have been required to retain the services of counsel. Pursuant to Government Code § 12965(b), they are entitled to an award of attorney fees.

WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below

#### THIRD CAUSE OF ACTION

Age Discrimination in Violation of FEHA Cal. Govt. Code Sections 12900 et. seq. (As to All Defendants)

- 80. Plaintiff incorporates by reference all of the facts set forth in paragraphs 1 through 49 with the same force and effect as if fully pleaded at length herein
- 81. Jurisdiction in this court is invoked pursuant to California Government Code § §

  12900, 12921, 12926, 12940 and 12965 [Collectively referred to as "FEHA"].

  Defendant is not exempted from the statutes cited in this paragraph by any local, stat or federal laws.

- 82. Defendant regularly employs more than five employees, and is subject to suit under **FEHA** for conduct prohibited thereby.
- 83. The plaintiff is a member of a class protected by FEHA. He is 56 years of age.
- 84. At all times herein relevant, Plaintiff's job performance was always satisfactory and was usually excellent.
- 85. Defendants terminated the employment of Plaintiff as a result of his

  Age. For example, after Plaintiff was asked by a Vice president, how long he had
  been at Radio Shack, and after looking at plaintiff from Head to toe, told plaintiff
  he might "have another Year with Radio Shack". In fact, a couple months later,
  after 13 years of loyal service, Plaintiff was terminated and replaced by a nonAfrican American 23 year old.
- 86. As a result of the aforesaid discriminatory acts, Plaintiff has suffered and is continuing to suffer a loss of wages/salary, benefits and other employee compensation in an amount which is currently un-ascertained. Plaintiff faces a substantial diminution of her future earning capacity in an amount which is currently un-ascertained. Plaintiff will request leave of the court to amend this Complaint to state the amount of all such damages when they have been ascertained, or upon proof at the time of trial.
- 87. As a result of the aforesaid acts of discrimination, Plaintiff has been held up to great derision and embarrassment with fellow workers, friends, members of the community and family, and has continued to suffer emotional distress. Plaintiff is

informed and believes that the defendant and its management acted deliberately for the purposes of injuring her.

- 88. Defendant, by and through its managing agents and employees, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in additional, severe mental distress.

  Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 89. Plaintiff is informed and believes and thereon allege that this cause of action is not preempted by the California Workers' Compensation Act on the grounds that employment discrimination is not a risk or condition of her employment.
- 90. Because of the wrongful acts of Defendant as herein above alleged, Plaintiff has been and/ or will in the future be required to employ physicians and mental health care professionals to examine, treat and care for her and will incur additional medical expenses in an amount to be proven at the time of trial.
- 91. In doing the acts set forth above, Defendant and its managing agents acted as herein alleged with a conscious disregard of Plaintiff's right to be free from discrimination based on age. Defendant acted, as alleged, with the malicious intention of depriving Plaintiff of employment opportunities and benefits that must be accorded to all employees regardless of their age. Defendant has retained, promoted and coddled employees and managers known by it to be vicious in that they are prejudiced against older employees. This conduct by Defendant was, and

1	is, despicable, cruel and oppressive. The Plaintiff is therefore entitled to an award
2	of punitive damages in an amount to be proven at trial.
3 4	92. In bringing this action, Plaintiff has been required to retain the services of
5	counsel. Pursuant to California Government Code § 12965(b), she is entitled to
6	and hereby requests an award of attorney fees and costs of suit.
7	WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below.
8	FOURTH CAUSE OF ACTION
9	Harassment In Violation of FEHA
10	(Hostile Work Environment)  Cal. Govt. Code Sections 12900 et. seq.
11	(As to all Defendants)
12	93. Plaintiff realleges and incorporate paragraphs 1 through 49 with the same force
13	and effect as if fully pleaded at length herein
14	94. Jurisdiction in this court is invoked pursuant to the FAIR EMPLOYMENT AND
15	HOUSING ACT ["FEHA "], i.e., Gov. Code § § 12900, 12921, 12926, 12940 and
16	12965, specifically Section 12940 (j).
17	95. Defendants are comprised of entities and/or individuals with an obligation under
18	the law to assure an environment in which its employees can work freely without
19	fear of harassment.
20	96. Defendants have allowed, condoned, enabled and refused to prevent the
21	harassment of Plaintiff, by themselves, agents, managerial, and other employees.
22	Said harassment included, but is not limited to, the following:
23	(A) Making false accusations against the plaintiff:
24	(B) Falsely accusing plaintiff of criminal acts
25	(C) Threatening Plaintiff with termination when he would not engage
26	in racial discrimination;
27	(D) Verbally threatening Plaintiff with threat of termination;

.1	(E) Ongoing excessive and disproportionate scrutiny of Plaintiff			
2	conduct;			
3	(F) Defendants at all times creating and condoning a hostile work			
4	environment for Plaintiff;			
5	(G) Defendants at all times creating and condoning an intolerable			
6	work environment for Plaintiff;			
7	(H) Public humiliation of Plaintiffs by Defendants;			
8	(I) Racially motivated disrespect toward Plaintiff; and			
9	(J) Wrongfully Terminating Plaintiff and replacing plaintiff with 23			
10	year old non-African American.			
ΙΙ	97. The harassment described in the preceding paragraphs and otherwise described			
12	in detail herein was and is so severe and pervasive that the working conditions of			
13	the Plaintiff was altered into a hostile and unsafe work environment.			
14				
15	98. The harassment described in the preceding paragraph and otherwise described in			
16	detail herein was and is so severe and pervasive that the working conditions of the			
17	Plaintiff constituted "harassment" of the Plaintiff pursuant to Cal. Govt. Code			
18	Section Section 12940 (j).			
19				
20	99. Repeatedly and persistently at all times relevant herein, the Plaintiff herein			
21	complained to management of the harassment described herein, but such			
22	harassment never and has never ceased.			
23	100. As a result of the aforesaid acts of Defendants, Plaintiff has, and continues to			
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25	suffer, monetary damages in an amount which is currently unascertained.			
26	Plaintiffs will therefore request leave of the court to amend this Complaint to state			
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the amount of all such damages when they have been ascertained, or upon proof at the time of trial.

- As a result of the aforesaid racial harassment, the Plaintiff has been held up to great derision and embarrassment with his fellow workers, customers, friends, members of the community and families, and has suffered emotional distress because Defendants demonstrated to him that they would not recognize nor accept him as an employee solely because of their race and religion and in retaliation for plaintiff's complaints. Plaintiff is informed and believes that the Defendants and their management acted deliberately for the purposes of injuring him as alleged above. Defendants, by and through their managing agents and employees, further acted intentionally and unreasonably because they knew and/or should have known that their conduct was likely to result in severe mental distress. Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- Plaintiff is informed and believes and thereon alleges that this cause of action is not preempted by the California Workers' Compensation Act on the grounds that harassment is not a risk or condition of Plaintiffs' employment.
- Because of the wrongful acts of Defendants as herein above alleged, Plaintiff 103. has been and will in the future be required to employ physicians and surgeons to examine, treat and care for him and will incur additional medical expenses in an amount to be proven at the time of trial.

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104. In doing the acts set forth above, Defendants acted as herein alleged with a conscious disregard of Plaintiff's rights to a non discriminatory work place.

Defendants have acted in utter disregard of their obligations under the law. The managing agents of Defendants have made conscious decisions to establish and to allow the existence of a hostile work place. In addition, said managing agents have knowingly retained and promoted vicious employees, including managers, known by Defendants to be prejudiced against African American employees and employees over the age of 40. This conduct by Defendants was, and is, despicable, cruel and oppressive. The Plaintiff is therefore entitled to an award of punitive damages in an amount to be proven at trial.

105. In bringing this action, Plaintiff has been required to retain the services of counsel. Pursuant to Government Code § 12965(b), they are entitled to an award of attorney fees.

WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below

#### FIFTH CAUSE OF ACTION

# Wrongful Termination in Violation of Public Policy (AS TO Defendants Radio Shack)

- 106. The facts alleged in paragraphs 1 through 49 are hereby incorporated by reference with the same force and effect as if fully pleaded at length herein.
- 107. Jurisdiction is invoked in this court pursuant to the California Supreme Court case of Tameny v. Atlantic Richfield Company (1980) 27 Cal. 3d 167.
- 108. There is a fundamental and well established public policy of this state against discrimination in employment on the basis of race, age, sex and national origin.

- Defendants have demonstrated to them that it will not recognize nor accept them as employees solely on their merits but rejects them based upon prohibited classifications described above.
- 114. Plaintiff is informed and believes that the Defendant and its management acted deliberately for the purposes of injuring them as alleged above.
- 115. Defendants, by and through their managing agents and employees, further acted intentionally and unreasonably because it knew and/or should have known that its conduct was likely to result in severe mental distress. Plaintiff therefore seeks damages for such emotional distress in an amount to be proven at time of trial.
- 116. Because of the wrongful acts of Defendants as herein above alleged, Plaintiff has been and will in the future be required to employ physicians and surgeons to examine, treat and care for them and will incur additional medical expenses in an amount to be proven at the time of trial.
- 117. In doing the acts set forth above, Defendants acted as alleged intentionally and with a conscious disregard of the Plaintiff's right to equal employment opportunities and to be free from discrimination on the basis of prohibited factors. Defendants have acted and continue to act in utter disregard of its obligations under the public policy of this state. Defendants have made conscious decisions to discriminate against its employees for reasons prohibited by law, specifically the Plaintiff herein, by treating him adversely in the manner described above.
- 118. In addition, said managing agents have retained, protected, promoted and coddled vicious employees known by it to discriminate against its employees. This conduct by Defendants was, and is, despicable, cruel and oppressive. The Plaintiff is therefore entitled to an award of punitive damages in an amount to be proven at trial.

1 SIXTH CAUSE OF ACTION 2 Intentional Infliction of Emotional Distress - Common Law (As to All Defendants) 3 126.Plaintiff realleges and incorporates paragraphs 1 through 49 with the same force 4 and effect as if full pleaded at length herein. 5 6 127 . This is an action for damages pursuant to the common law of the State of 7 California as mandated by the California Supreme Court in the decision of Rojo v. 8 Kliger, (1990) 52 Cal. 3d 65. 9 128. The acts of Defendants and agents, including managers of Defendant, as 10 11 described above, were extreme and outrageous. This includes, but is not limited to, 12 the following: 13 (A) Wrongful termination based on Age and Race; 14 (B) Falsely accusing plaintiff of criminal acts; 15 16 (C) Plaintiff was threatened and told to terminate his African American and 17 Hispanic employees because they "did not fit the image' defendants 18 wanted. Plaintiff was told he needed to "upgrade" his staff. Plaintiff was 19 threatened that if he did not do this, he would be terminated. Plaintiff, as 20 21 the Store Manager felt he had a superb and competent staff, which was 22 reflected by his store's success. Plaintiff, rightfully and loyally defended 23 his staff. Soon after, plaintiff was retaliated against and terminated; 24 25 (D) Hostile work environment, 26 WHEREFORE, Plaintiff prays for judgment as more fully set forth herein below. 27

DEMAND FOR JURY TRIAL 1 Plaintiff Frank Allen hereby demands trial of this matter by jury. 2 3 PRAYER FOR RELIEF 4 WHEREFORE, Plaintiff Frank Allen prays for relief as follows: 5 129. For compensatory damages; 6 **130**. For monetary damages to compensate for the emotional distress suffered by 7 Plaintiff; 8 9 131. For punitive damages in an amount appropriate to punish Defendants for their 10 wrongful and malicious conduct and to set an example for others; 11 132. For prejudgment and post-judgment interest accrued to date; 12 13 133. For costs of suit incurred herein; 14 **134**. For attorneys fees and costs pursuant to California Government Code 15 § 12965(b) and other provisions of law; and 16 For such other relief that this Court may deem just and proper. 17 18 19 Dated: LAW OFFICES OF MAYOR JOSEPH L. ALIOTO & ANGELA ALIOTO 20 21 22 23 Attorney for Plaintiff Frank Allen 24 25 26 27 28

CASE NUMBER: CGC-11-511136 FRANK ALLEN VS. RADIO SHACK CORPORATION et al

#### **NOTICE TO PLAINTIFF**

A Case Management Conference is set for:

DATE:

OCT-21-2011

TIME:

9:00AM

PLACE:

Department 610

**400 McAllister Street** 

San Francisco, CA 94102-3680

All parties must appear and comply with Local Rule 3.

CRC 3.725 requires the filing and service of a case management statement form CM-110 no later than 15 days before the case management conference.

However, it would facilitate the issuance of a case management order without an appearance at the case management conference if the case management statement is filed, served and lodged in Department 610 twenty-five (25) days before the case management

Plaintiff must serve a copy of this notice upon each party to this action with the summons and complaint. Proof of service subsequently filed with this court shall so state.

#### ALTERNATIVE DISPUTE RESOLUTION POLICY REQUIREMENTS

IT IS THE POLICY OF THE SUPERIOR COURT THAT EVERY CIVIL CASE PARTICIPATE IN EITHER MEDIATION, JUDICIAL GR NON-JUDICIAL ARBITRATION, THE EARLY SETTLEMENT PROGRAM OR SOME SUITABLE FORM OF ALTERNATIVE DISPUTE RESOLUTION PRIOR TO A MANDATORY SETTLEMENT CONFERENCE OR TRIAL. (SEE LOCAL RULE 4)

Plaintiff must serve a copy of the Alternative Dispute Resolution Information Package on each defendant along with the complaint. All counsel must discuss ADR with clients and opposing counsel and provide clients with a copy of the Alternative Dispute Resolution Information Package prior to filing the Case Management Statement.

[DEFENDANTS: Attending the Case Management Conference does not take the place of filing a written response to the complaint. You must file a written response with the court within the time limit required by law. See Summons.]

Superior Court Alternative Dispute Resolution Coordinator 400 McAllister Street, Room 103 San Francisco, CA 94102 (415) 551-3876

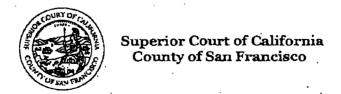
See Local Rules 3.6, 6.0 C and 10 D re stipulation to commissioners acting as temporary judges

# Alternative Dispute Resolution (ADR) Program Information Package

# **Alternatives to Trial**

# There are other ways to resolve a civil dispute.

The plaintiff must serve a copy of the ADR information package on each defendant along with the complaint. (CRC 3.221(c))



#### Introduction

Did you know that most civil lawsuits settle without a trial?

And did you know that there are a number of ways to resolve civil disputes without having to sue somebody?

These alternatives to a lawsuit are known as alternative dispute resolutions (ADR). The most common forms of ADR are mediation, arbitration and case evaluation. There are a number of other kinds of ADR as well.

In ADR, trained, impartial persons decide disputes or help parties decide disputes themselves. These persons are called neutrals. For example, in mediation, the neutral is the mediator. Neutrals normally are chosen by the disputing parties or by the court. Neutrals can help parties resolve disputes without having to go to court.

ADR is not new. ADR is available in many communities through dispute resolution programs and private neutrals.

## Advantages of ADR

ADR can have a number of advantages over a lawsuit.

- ADR can save time. A dispute often can be resolved in a matter of months, even weeks, through ADR, while a lawsuit can take years.
- ADR can save money. Court costs, attorneys fees, and expert fees can be saved.
- ADR can be cooperative. This means that the parties having a dispute may work together with the neutral to resolve the dispute and agree to a remedy that makes sense to them, rather than work against each other.
- ADR can reduce stress. There are fewer, if any, court appearances. And because
  ADR can be speedier, and save money, and because the parties are normally
  cooperative, ADR is easier on the nerves. The parties don't have a lawsuit
  hanging over their heads for years.
- ADR encourages participation. The parties may have more chances to tell their side of the story than in court and may have more control over the outcome.
- ADR is flexible. The parties can choose the ADR process that is best for them.
   For example, in mediation the parties may decide how to resolve their dispute.
- ADR can be more satisfying. For all the above reasons, many people have reported a high degree of satisfaction with ADR.

Because of these advantages, many parties choose ADR to resolve a dispute, instead of filing a lawsuit. Even when a lawsuit has been filed, the court can refer the dispute to a neutral before the parties' position harden and the lawsuit becomes costly. ADR has been used to resolve disputes even after a trial, when the result is appealed.

# Disadvantages of ADR

ADR may not be suitable for every dispute.

- If ADR is binding, the parties normally give up most court protections, including a decision by a judge or jury under formal rules of evidence and procedure, and review for legal error by an appellate court.
- There generally is less opportunity to find out about the other side's case with ADR than with litigation. ADR may not be effective if it takes place before the parties have sufficient information to resolve the dispute.
- The neutral may charge a fee for his or her services.
- If a dispute is not resolved through ADR, the parties may have to put time and money into both ADR and a lawsuit.
- Lawsuits must be brought within specified periods of time, known as statutes of limitation. Parties must be careful not to let a statute of limitations run out while a dispute is in an ADR process.

### ALTERNATIVE DISPUTE RESOLUTION PROGRAMS Of the San Francisco Superior Court

"It is the policy of the Superior Court that every noncriminal, nonjuvenile case participate either in an early settlement conference, mediation, arbitration, early neutral evaluation or some other alternative dispute resolution process prior to a mandatory settlement conference or trial." (Superior Court Local Rule 4)

This guide is designed to assist attorneys, their clients and self-represented litigants in complying with San Francisco Superior Court's alternative dispute resolution ("ADR") policy. Attorneys are encouraged to share this guide with clients. By making informed choices about dispute resolution alternatives, attorneys, their clients and self-represented litigants may achieve a more satisfying resolution of civil disputes.

The San Francisco Superior Court currently offers three ADR programs for general civil matters; each program is described below:

- 1) Judicial Arbitration
- 2) Mediation
- 3) The Early Settlement Program (ESP) in conjunction with the San Francisco Bar Association.

#### JUDICIAL ARBITRATION

#### Description

In arbitration, a neutral "arbitrator" presides at a hearing where the parties present evidence through exhibits and testimony. The arbitrator applies the law to the facts of the case and makes an award based upon the merits of the case. When the Court orders a case to arbitration it is called <u>judicial</u> <u>arbitration</u>. The goal of arbitration is to provide parties with an adjudication that is earlier, faster, less formal, and usually less expensive than a trial. Upon stipulation of all parties, other civil matters may be submitted to judicial arbitration.

Although not currently a part of the Court's ADR program, civil disputes may also be resolved through private arbitration. Here, the parties

ADR-1 12/10 (rw)

voluntarily consent to arbitration. If all parties agree, private arbitration may be binding and the parties give up the right to judicial review of the arbitrator's decision. In private arbitration, the parties select a private arbitrator and are responsible for paying the arbitrator's fees.

#### Operation

Pursuant to CCP 1141.11 and Local Rule 4, all civil actions in which the amount in controversy is \$50,000 or less, and no party seeks equitable relief, shall be ordered to arbitration. A case is ordered to arbitration after the Case Management Conference. An arbitrator is chosen from the Court's Arbitration Panel. Most cases ordered to arbitration are also ordered to a pre-arbitration settlement conference. Arbitrations are generally held between 7 and 9 months after a complaint has been filed. Judicial arbitration is <u>not</u> binding unless all parties agree to be bound by the arbitrator's decision. Any party may request a court trial within 30 days after the arbitrator's award has been filed.

#### Cost

There is no cost to the parties for judicial arbitration or for the prearbitration settlement conference.

#### MEDIATION

#### Description

Mediation is a voluntary, flexible, and confidential process in which a neutral third party "mediator" facilitates negotiations. The goal of mediation is to reach a mutually satisfactory agreement that resolves all or part of the dispute after exploring the significant interests, needs, and priorities of the parties in light of relevant evidence and the law.

Although there are different styles and approaches to mediation, most mediations begin with presentations of each side's view of the case. The mediator's role is to assist the parties in communicating with each other, expressing their interests, understanding the interests of opposing parties, recognizing areas of agreement and generating options for resolution. Through questions, the mediator aids each party in assessing the strengths and weaknesses of their position.

A mediator does not propose a judgment or provide an evaluation of the merits and value of the case. Many attorneys and litigants find that mediation's emphasis on cooperative dispute resolution produces more satisfactory and enduring resolutions. Mediation's non-adversarial approach is particularly effective in disputes in which the parties have a continuing relationship, where there are multiple parties, where equitable relief is sought, or where strong personal feelings exist.

#### Operation

San Francisco Superior Court Local Court Rule 4 provides three different voluntary mediation programs for civil disputes. An appropriate program is available for all civil cases, regardless of the type of action or type of relief sought.

To help litigants and attorneys identify qualified mediators, the Superior Court maintains a list of mediation providers whose training and experience have been reviewed and approved by the Court. The list of court approved mediation providers can be found at <a href="https://www.sfsuperiorcourt.org">www.sfsuperiorcourt.org</a>. Litigants are not limited to mediators on the court list and may select any mediator agreed upon by all parties. A mediation provider need not be an attorney.

Local Rule 4.2 D allows for mediation in lieu of judicial arbitration, so long as the parties file a stipulation to mediate within 240 days from the date the complaint is filed. If settlement is not reached through mediation, a case proceeds to trial as scheduled.

#### Private Mediation

The Private Mediation program accommodates cases that wish to participate in private mediation to fulfill the court's alternative dispute resolution requirement. The parties select a mediator, panel of mediators or mediation program of their choice to conduct the mediation. The cost of mediation is borne by the parties equally unless the parties agree otherwise.

Parties in civil cases that have not been ordered to arbitration may consent to private mediation at any point before trial. Parties willing to submit a matter to private mediation should indicate this preference on the Stipulation to Alternative Dispute Resolution form or the Case Management Statement (CM-110). Both forms are attached to this packet.

ADR-1 12/10 (rw) Page 6

#### Mediation Services of the Bar Association of San Francisco

The Mediation Services is a coordinated effort of the San Francisco Superior Court and The Bar Association of San Francisco (BASF) in which a court approved mediator provides three hours of mediation at no charge to the parties. It is designed to afford civil litigants the opportunity to engage in early mediation of a case shortly after filing the complaint, in an effort to resolve the matter before substantial funds are expended on the litigation process. Although the goal of the program is to provide the service at the outset of the litigation, the program may be utilized at anytime throughout the litigation process.

The mediators participating in the program have been pre-approved by BASF pursuant to strict educational and experience requirements.

After the filing of the signed Stipulation to Alternative Dispute Resolution form included in this ADR package the parties will be contacted by BASF. Upon payment of the \$250 per party administration fee, parties select a specific mediator from the list of approved mediation providers or BASF will help them select an appropriate mediator for the matter. The hourly mediator fee beyond the first three hours will vary depending on the mediator selected. Waiver of the administrative fee based on financial hardship is available.

A copy of the Mediation Services rules can be found on the BASF website at www.sfbar.org/mediation or you may call the BASF at 415-982-1600.

#### Judicial Mediation

The Judicial Mediation program is designed to provide early mediation of complex cases by volunteer judges of the San Francisco Superior Court. Cases considered for the program include construction defect, employment discrimination, professional malpractice, insurance coverage, toxic torts and industrial accidents.

Parties interested in judicial mediation should file the Stipulation to Alternative Dispute Resolution form attached to this packet indicating a joint request for inclusion in the program. A preference for a specific judge may be indicated. The court's Alternative Dispute Resolution Coordinator will coordinate assignment of cases that qualify for the program.

#### Cost

Generally, the cost of Private Mediation ranges from \$100 per hour to \$800 per hour and is shared equally by the parties. Many mediators are willing to adjust their fees depending upon the income and resources of the parties. Any party who meets certain eligibility requirements may ask the court to appoint a mediator to serve at no cost to the parties.

The Mediation Services of the Bar Association of San Francisco provides three hours of mediation time at no cost with a \$250 per party administrative fee.

There is no charge for participation in the Judicial Mediation program.

#### EARLY SETTLEMENT PROGRAM

#### Description

The Bar Association of San Francisco, in cooperation with the Court, offers an Early Settlement Program ("ESP") as part of the Court's settlement conference calendar. The goal of early settlement is to provide participants an opportunity to reach a mutually acceptable settlement that resolves all or part of the dispute. The two-member volunteer attorney panel reflects a balance between plaintiff and defense attorneys with at least 10 years of trial experience.

As in mediation, there is no set format for the settlement conference. A conference typically begins with a brief meeting with all parties and counsel, in which each is given an opportunity to make an initial statement. The panelists then assist the parties in understanding and candidly discussing the strengths and weaknesses of the case. The Early Settlement Conference is considered a "quasi-judicial" proceeding and, therefore, is not entitled to the statutory confidentiality protections afforded to mediation.

#### Operation

Civil cases enter the ESP either voluntarily or through assignment by the Court. Parties who wish to choose the early settlement process should indicate this preference on the Case Management Statement (CM-110).

If the Court assigns a matter to the ESP, parties may consult the ESP program materials accompanying the "Notice of the Early Settlement Conference" for information regarding removal from the program.

Participants are notified of their ESP conference date approximately 4 months prior to trial. The settlement conference is typically held 2 to 3 months prior to the trial date. The Bar Association's ESP Coordinator informs the participants of names of the panel members and location of the settlement conference approximately 2 weeks prior to the conference date.

Local Rule 4.3 sets out the requirements of the ESP. All parties to a case assigned to the ESP are required to submit a settlement conference statement prior to the conference. All parties, attorneys who will try the case, and insurance representatives with settlement authority are required to attend the settlement conference. If settlement is not reached through the conference, the case proceeds to trial as scheduled.

#### Cast

All parties must submit a \$250 generally non-refundable administrative fee to the Bar Association of San Francisco. Parties who meet certain eligibility requirements may request a fee waiver. For more information, please contact the ESP Coordinator at (415) 782-9000 ext. 8717.

For further information about San Francisco Superior Court ADR programs or dispute resolution alternatives, please contact:

Superior Court Alternative Dispute Resolution, 400 McAllister Street, Room 103 San Francisco, CA 94102 (415) 551-3876

Or, visit the Superior Court Website at www.sfsuperiorcourt.org

#### SUPERIOR COURT OF CALIFORNIA COUNTY OF SAN EPANCISCO

	•	Case No.	
v.	Plaintiff	STIPULATIO DISPUTE RE	N TO ALTERNATIVE SOLUTION
•	Defendant	DEPART	MENT 212
The parties hereby sesolution process:	tipulate that this action shall b	e submitted to the following alt	ernative dispute
		ation Services of BASF   Judge Judge	Judicial Mediation
Other ADR p	rocess (describe)		
Plaintiff(s) and Defer	ndant(s) further agree as follow		
PlaintIff(s) and Defer			
			of Party or Attorney
		y Executing Stipulation Signature	e of Party or Altorney
ame of Party Stipulating	Name of Party or Attorney	y Executing Stipulation Signature	•
ame of Party Stipulating Plaintiff ☐ Defendant	Name of Party or Attorney  ☐ Cross-defendant	y Executing Stipulation Signature	· · · · · · · · · · · · · · · · · · ·
ame of Party Stipulating	Name of Party or Attorney  ☐ Cross-defendant	/ Executing Stipulation Signature Deted:	· · · · · · · · · · · · · · · · · · ·
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ame of Party Stipulating  Plaintiff	Name of Party or Attorney  Cross-defendant  Name of Party or Attorney	Executing Stipulation Signature  Dated:  Executing Stipulation Signature  Dated:	· · · · · · · · · · · · · · · · · · ·
eme of Party Stipulating   Plaintiff	Name of Party or Attorney Cross-defendant  Name of Party or Attorney Cross-defendant  Name of Party or Attorney Cross-defendant  Cross-defendant	Executing Stipulation Signature  Dated:  Executing Stipulation Signature  Dated:  Paracuting Stipulation Signature	e of Party or Attorney

	CM-11
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address):	FOR COURT USE ONLY .
· <del>-</del>	
TELEPHONE NO.: FAX NO. (Optional):	
E-MAIL ADDRESS (Optional):	
ATTORNEY FOR (Name):	
SUPERIOR COURT OF CALIFORNIA, COUNTY OF	<b>-1</b> ·
STREET ADDRESS:	1
MAILING ADDRESS:	
CITY AND ZP CODE:	1.
BRANCH MAME;	-
PLAINTIFF/PETITIONER:	<b>-</b>
DEFENDANT/RESPONDENT:	1.
	<u> </u>
CASE MANAGEMENT STATEMENT	CASE NUMBER:
Check one): UNLIMITED CASE LIMITED CASE	
(Amount demanded (Amount demanded is \$25,000 or less)	<u>'</u>
- Children (Colors)	<u> </u>
A CASE MANAGEMENT CONFERENCE is scheduled as follows:	
Dept.:	Div.: Room:
ddress of court (if different from the address above):	
INSTRUCTIONS: All applicable boxes must be checked, and the specific	ed information must be provided.
. Party or parties (answer one):	
a. This statement is submitted by party (name):	•
b This statement is submitted jointly by parties (names).	
	•
Complaint and cross-complaint (to be answered by plaintiffs end cross-complains	ata antui
The complaint was filed on (date):	nis diry)
b. The cross-complaint, if any, was filed on (dete):	
	•
Service (to be answered by plaintiffs and cross-complainants only)	
a. All parties named in the complaint and cross-complaint have been served	or have appeared, or have been dismissed
b The following parties named in the complaint or cross-complaint	
(1) have not been served (specify names and explain why not):	
(2) have been served but have not appeared and have not bee	n dismissed (specify names).
(3) have had a default entered against them (specify names):	
c. The following additional parties may be added (specify names, nature of	involvement in case, and the date by which
they may be served):	
Description of case	
	including causes of ection):
,	-
	-

_		·	CM-110
	PLAINTIFF/PETITIONER:	CASE NUMBER:	
	DEFENDANT/RESPONDENT:		
4.	<ol> <li>b. Provide a brief statement of the case, including any damages. (If personal is damages claimed, including medical expenses to date findicate source and earnings to date, and estimated future lost earnings. If equitable relief is source.</li> </ol>	amount), estimated future medical expens	ry and es, lost
•		. •	
	. •		
	(If more space is needed, check this box and attach a page designated a	s Attachment 46 )	
_		·	
5.		ore than one party, provide the name of ea	ch andu
	requesting a jury trial):	ne than die perty, provide the hane di ea-	on party
		•	
6.			
	<ul> <li>a The trial has been set for (date):</li> <li>b No trial date has been set. This case will be ready for trial within 12 monot, explain):</li> </ul>	onths of the date of the filing of the comple	int <i>(if</i>
	c. Dates on which parties or attorneys will not be available for trial (specify date	as and explain mesons for unevellability)	
		•	
7.	Estimated length of trial  The party or parties estimate that the trial will take (check one):	•	
•	a. Cays (specify number):		
	b. hours (short causes) (specify):	•	
8.		• '	
	The party or parties will be represented at trial by the attorney or party fit a. Attorney:	sted in the caption by the following	g: .
	b. Firm:		
	c. Address: d. Felephone number:		
	e. Fax number:		
	f. E-mail address:	•	
	g. Party represented:  Additional representation is described in Attachment 8.		
_		•	
9.	Preference  This case is entitled to preference (specify code section):		
10.	<ul> <li>Alternative Dispute Resolution (ADR)</li> <li>a. Counsel has has not provided the ADR information pack reviewed ADR options with the client.</li> </ul>	age identified in rule 3.221 to the citent and	d has
	b. All parties have agreed to a form of ADR. ADR will be completed by (de	ale);	
	c. The case has gone to an ADR process (Indicate status):		٠
CM-	-110 [Rev. January 1, 2009]		Page 2 of 4
	CASE MANAGEMENT STATEMENT		

		CM-11
PLAINTIFF/PETITIONER:	CASE NUMBER:	_
DEFENDANT/RESPONDENT:		•
10. d. The party or parties are willing to participate in (check all that apply):  (1) Mediation  (2) Nonbinding judicial arbitration under Code of Civil Procedure section arbitration under Cal. Rules of Court, rule 3.822)	1141.12 (discovery to close 1	5 days before
(3) Nonbinding judicial arbitration under Code of Civil Procedure section before trial; order required under Cal. Rules of Court, rule 3.822)	1141.12 (discovery to remain	open until 30 day
(4) Binding judicial arbitration (5) Binding private arbitration	•	
(6) Neutral case evaluation (7) Other (specify):	•	. •
e.  This matter is subject to mandatory judicial arbitration because the amount the statutory limit.  f. Plaintiff elects to refer this case to judicial arbitration and agrees to limit rec Procedure section 1141.11.  g. This case is exempt from judicial arbitration under rule 3.811 of the Californ	covery to the amount specified	in Code of Civil
Cambridge Cambri	ila Rules of Court (specify ext	emption):
11. Settlement conference		
The party or parties are willing to participate in an early sattlement conference (	snarify when):	
	apoutly which,	
12. Insurance		<i>:</i>
a. Insurance carrier, if any, for party filing this statement (name):		•
b. Reservation of rights: Yes No		• .
c. Coverage issues will significantly affect resolution of this case (explain):	•	
Description of the case (explain).	•	
	•	•
3. Juris diction		
indicate any matters that may affect the court's jurisdiction or processing of this case,	and describe the status	•
Bankruptcy (specify):	5/10 0500 150 0/0 3/0/06.	
Status:		•
4. Related cases, consolidation, and coordination		
a. There are companion, underlying, or related cases.		
(1) Name of case: (2) Name of court:		
(3) Case number:		
(4) Status:		
Additional cases are described in Attachment 14a.	•	
b. L. A motion to	(name party):	
5. Bifurcation	•	
The party or parties intend to file a motion for an order bifurcating, severing, or coaction (specify moving party, type of motion, and reasons):	oordinating the following issue	es or causes of
Grayer - movery and regounds.	•	•
. Other motions		
The party or parties expect to file the following motions before trial (specify moving	ng party, type of motion, and i	issues):
110 [Rev. Junuary 1, 2009] CASE MANAGEMENT STATEMENT	•	Page 3 of 4

PLAINTIFFIPETITIONER:					CM-
_		•	1	CASE NUMBER:	
DEFENDANT/RESPONDENT:	<u> </u>	·			
<ul> <li>Discovery</li> <li>a.  The party or parties hat</li> <li>b. The following discovery</li> </ul>	ve completed all disco	very. the date specified	/describe ell antio	ingled discounts	
Party		cription	(Describe all alluc		
· ·	,				
		•		•	
•	•	•			
c. The following discovery	iccupo era estidado	l (n % d)			
- The loadwing diacovary	issues are anticipated	і (зреску):	•		
		•			
	•				
·					
Economic litigation	•	,			•
a. This is a limited civil cas of Civil Procedure section	e (i.e., the amount der	manded is \$25,000	or less) and the	economic litigation p	rocedures in Co
b This is a limited civil cas	e and a motion to with	draw the case from	the economic (II)	gation procedures o	r for additional
discovery will be filed (fi should not apply to this	Chanvan' Rybigili 2060	dically why acono	nic ilagation proce	adures relating to dis	secury ar area
diagonal will ac then lu	Chanvan' Rybigili 2060	diically why aconol	nic llugavon proce	adures relating to dis	
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should not apply to this a  Other issues  The party or parties request	oleoneo, explain spec case):	янсвіту шлу всопо	•		
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should not apply to this a  Other issues  The party or parties request conference (specify).  Meet and confer a. The party or parties have of Court (if not, explain):	that the following addi	itional matters be d	onsidered or dete	rmined at the case r	management California Rule
Should not apply to this a  Other issues  The party or parties request conference (specify).  Meet and confer a The party or parties have of Court (if not, explain):	that the following addi	itional matters be d	onsidered or dete	rmined at the case r	management California Rule
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#### Superior Court of California County of San Francisco



HON. KATHERINE PEINSTEIN PRESIDING JUDGE

#### Judicial Mediation Program

JENIFFER B. ALCANTARA
ADRIADMINISTRATOR

The Judicial Mediation program offers mediation in civil litigation with a San Francisco Superior Court judge familiar with the area of the law that is the subject of the controversy. Cases that will be considered for participation in the program include, but are not limited to personal injury, professional malpractice, construction, employment, insurance coverage disputes, mass torts and complex commercial litigation. Judicial Mediation offers civil litigants the opportunity to engage in early mediation of a case shortly after filing the complaint in an effort to resolve the matter before substantial funds are expended. This program may also be utilized at anytime throughout the litigation process. The panel of judges currently participating in the program includes:

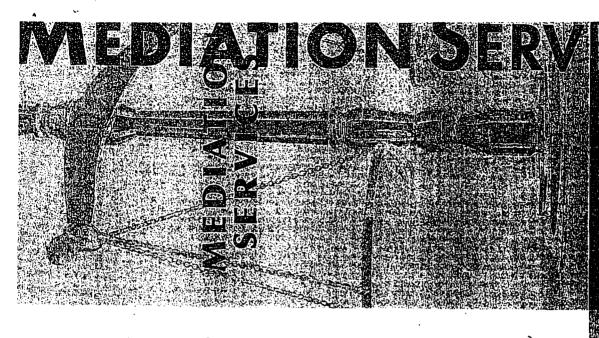
The Honorable Linda Colfax
The Honorable Michael Begert
The Honorable Gail Dekreon
The Honorable Ernest H. Goldsmith
The Honorable Harold E. Kahn
The Honorable Curtis Karnow
The Honorable Charlene P. Kiesselbach
The Honorable Patrick J. Mahoney

The Honorable Tomar Mason
The Honorable James J. McBride
The Honorable Ronald Quidachay
The Honorable A. James Robertson, II
The Honorable John K. Stewart
The Honorable Monica F. Wiley
The Honorable Mary E. Wiss
The Honorable Charlotte W. Woolard

Parties interested in Judicial Mediation should file the Stipulation to Alternative Dispute Resolution form indicating a joint request for inclusion in the program and deliver a courtesy copy to Dept. 610. A preference for a specific judge may be indicated on the form but assignment to a particular judge is not guaranteed. Please allow at least 30 days from the filing of the form to receive the notice of assignment. The court Alternative Dispute Resolution Administrator will facilitate assignment of cases that qualify for the program.

Note: Space and availability is limited. Submission of a stipulation to Judicial Mediation does *not* guarantee inclusion in the program. You will receive written notification from the court as to the outcome of your application.

Alternative Dispute Resolution
400 McAllister Street, Room 103, San Francisco, CA 94102
(415) 551-3876



# What users are saying...

against four clients of ours by a wealthy investor who claimed inadequate disclosure was made. 8 ½ hours of mediation, [the BASF mediator] "We had an excellent experience and, ofter settled a very difficult case involving claims

Robert Charles Friese, Esq. Shartsis Friese LLP "The BASF Mediation Services is the best deal in town and the mediator was the best I have ever experienced."

Discriminatio

Education

onstruction

Contracts Disability

Civil Rights ommercial

Business

Vernon Bradley, Esq. Bradley Law Offices

Association of San Francisco. The mediator was call of duty, and his knowledge of real property extraordinary; he went above and beyond the "Much thanks to the mediator and The Bar issues greatly assisted the parties."

Robert P. Travis, Esq. Fravis and Pon

intellectual Proper

Financial

Intra-Organizat

"BASF staff was very helpful – stayed on the task and kept after a hard to reach party. The mediator was greatl"

Campagnoli, Abelson & Campagnoli Mark Aboison, Esq.

effective with some strong, forceful personalities. "The [BASF] mediator was excellent! He was

Partnership Dissoluti

GBT Issues

lord/Ten

Labor

and Use

Probate/Trust Products Liabilit

Real Estate.

Securities Taxation

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Zacks, Utrecht & Leadbetter Denise A. Leadbatter, Esq.

omen's Issues nsured Motori

## WHAT IS BASF'S MEDIATION SERVICE?

Mediation Services was established in 2005 by The Bar Association of San Francisco (BASF). with extensive input from experienced mediators, litigators and Judges. Our mediation service can assist with almost any type of dispute, from simple contract disputes to complex commercial matters.

# WHO ARE THE MEDIATORS?

They are established mediators who have private mediation practices and have met our rigorous training and experience requirements. By going through BASF, you receive the services of these highly qualified mediators at a great value.

## HOW MUCH DOES THE SERVICE COST?

A \$250 per party administrative fee is pald to BASF. This fee covers the first hour of mediator preparation time and the first two hours of session time, but your mediation is not limited to three hours. Time beyond these three hours is paid at the mediator's normal hourly rate. To qualify for the pro-bono hours from our professional mediators, parties must file the Consent to Mediate form with BASF.

# HOW IS THE MEDIATOR CHOSEN?

You may request a specific mediator from our website (www.sfbar.org/mediation) and indicate your choice on the BASF Consent to Mediate form, or you may indicate on the form that you would like BASF to assist with the selection.

ė

## WHY DO THE MEDIATORS GIVE FREE HOURS; 1S THE SERVICE ONLY FOR "SMAIL" MATTERS?

The mediators are professionals who have agreed to provide the free time as a service to BASF, allowing us to offer a unique mediation panel of high quality and value. Our mediators are available for any size case; we've handled everything from simple property disputes to complex business matters.

### WHY SHOULD I GO THROUGH BASF, CAN'T I JUST CALL THE MEDIATOR DIRECTLY?

The BASF mediators are available privately but have also agreed to serve on our panel and provide three free hours as a service to BASF. If you go directly to one of our mediators, you do not qualify for the pro bono hours. Once you have filed with us, you will work directly with the mediator.

## HOW LONG IS THE MEDIATION SESSION?

THE PROPERTY.

The time spent in mediation will yary depending on your dispute. The mediators are dedicated to reaching a settlement, whether you need a few hours or several days.

# WHO CAN USE THE SERVICE?

The BASF mediators can be utilized by anyone and is NOT limited to San Francisco residents or issues. Also, the service may be used before a court action is filed or at any time during court action.

## OUR CASE IS FILED IN COURT; HOW DO WE USE BASF'S MEDIATION SERVICE?

When you file the San Francisco court's stipulation to ADR, simply check the box indicating your choice as Mediation Services of BASF. Then complete BASF's Consent to Mediate form found on our website and file it with us, or call us for the form. (If the matter was filed in a different county, please check with that court for the appropriate process.)

### WE ARE ON A DEADLINE; HOW QUICKLY CAN WE MEDIATE?

Once all partles have filed the BASF Consent to Mediate form and paid the administrative fees, BASF can normally have you in touch with the mediator within a day or two. If you have a deadline, staff will give the matter top priority.

# WHAT TYPES OF DISPUTES CAN I MEDIATE?

BASF mediators are trained in dozens of areas of disputes. If you don't see the area you need in our 30+ panels found on our website and this brochure, just contact us; it is very likely we can match your need with one of our panelists.

## MORE INFORMATION

Our website provides photographs, short biographies and hourly rates of our mediators. You can search by name or by area of law. For personal assistance, please call 415-982-1600.

Attorney or Party without differey:  ANGELA ALIOTO, ESQ., SB# LAW OFFICES OF JOSEPH L.	130328 ALIOTO AND ANGE	TA ALTOTO		For Court U	le Owly
700 Montgomery Street San Francisco, CA 941				2011 11111 -	2 Ph/3: 2
Telephone No: (415) 434-8700				£	
Attorney for: Plaintiff Frank Allen	Re	f. No. or File No.:		BY:	
Insert name of Court, and Judicial District and Bra	nch Court:		· · · · · · · · · · · · · · · · · · ·	<u> </u>	CLEAN
SAN FRANCISCO COUNTY SUPERIO	R COURT		•		
Plaintiff: FRANK ALLEN			*****		
Defendant/Cross Complainant: RADIO SHACE	CORPORATION				
PROOF OF SERVICE	Hearing Date;	Tine:	DtpNDtv;	Case Number:	<del>-///</del>
SUMMONS & COMPLAINT	Frl, Oct. 21, 2011	9:00AM	610	CGC-11-511136	

- 1. At the time of service I was at least 18 years of age and not a party to this action.
- 2. I served copies of the SUMMONS & COMPLAINT; NOTICE TO PLAINTIFF AND NOTICE OF CASE MANAGEMENT CONFERENCE; ADR INFORMATION PACKAGE; BLANK STIPULATION FOR ADR; BLANK CASE MANAGEMENT STATEMENT; JUDICIAL MEDIATION PROGRAM SHEET; MEDIATION SERVICES BROCHURE
- 3. a. Party served:

RADIO SHACK CORPORATION

b. Person served:

BECKY DE GEORGE, AUTHORIZED TO ACCEPT SERVICE; White, Female,

50 Years Old, Blonde Hair, 5 Feet 7 Inches, 170 Pounds

4. Address where the party was served:

CSC, LAWYERS INCORPORATING SERVICES

2730 GATEWAY OAKS DRIVE

SUITE 100

SACRAMENTO, CA 95833

- 5. I served the party:
  - a. by personal service. I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on: Thu., May. 26, 2011 (2) at: 3:38PM
- 6. The "Notice to the Person Served" (on the Summons) was completed as follows: on behalf of: RADIO SHACK CORPORATION Under CCP 416.10 (corporation)
- 7. Person Who Served Papers:

a. JEFFREY W ABEGGLEN

b. MERCURY INVESTIGATIONS 3645 GRAND AVENUE **SUITE 207** 

OAKLAND, CA 94610

c. 510-268-9810

Lic. No. PI 12115

Recoverable Cost Per CCP 1033,5(a)(4)(B)

d. The Fee for Service was: \$100.00

e. I am: (3) registered California process server

(i) Independent Contractor

(ti) Registration No.: 2007-63

(iii) County.

Sacramento

(Iv) Expiration Date: Sun, Dec. 11, 2011

8. I declare under penalty of perjury under the laws of the State of California that the fo Date: Thu, May. 26, 2011

Judicial Council Form POS-010 Rule 2.180 (8) & (h) Rev January 1, 2007

SUMMONS OF SERVICE

(JEFFREY WABEGGLEN) mercuryi.193697

· ·		
		CM-010
ATTURNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar in Angela Alicho, Esq. (SBN 130328)	umber, and address):	FO COURT OF ONLY
Angela Alioro, Esq. (SBN 130328)		Superior Court of California
Angela M. Veronese, Esq. (SBN 2699420	Angele Alioto	County of San Francisco
Law Offices of Mayor Joseph L. Alioto and 700 Montgomery, san Francisco, CA 94111	Angela Anow	i i
.TELEPHONE NO.: 415-434-8700	FAX NO.: 415-438-4638	MAY 2 0 2011
ATTORNEY FOR (Name): Frank Allen		
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Sa	n Francisco	CLERK OF THE COURT
STREET ADDRESS: 400 McAllister Street		By Jaxam Nott
MAILING ADDRESS:		Deputy Clerk
CITY AND ZIP CODE: San Francisco, CA 94	102	Supply Clark
BRANCH NAME		1
CASE NAME:		
Frank Allen v. Radio Shack Corpora	tion Donna O'Camno and Does 1-	-100
		I CASE NUMBER
CIVIL CASE COVER SHEET	Complex Case Designation	ccc-11-511136
Unlimited Limited	Counter Joinder	
(Amount (Amount		dant JUDGE:
demanded demanded is	Filed with first appearance by defen- (Cai. Rules of Court, rule 3.402)	DEPT:
exceeds \$25,000) \$25,000 or less)		
	ow must be completed (see instructions	Gr page 2).
1. Check one box below for the case type that	t best describes this case:	Participation
Auto Tort	Contract	Provisionally Complex Civil Litigation (Cal. Rules of Court, rules 3.400-3.403)
Auto (22)	Breach of contract/warranty (06)	ا
Uninsured motorist (46)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)
Other PI/PD/WD (Personal Injury/Property	Other collections (09)	Construction defect (10)
Damage/Wrongful Death) Tort	Insurance coverage (18)	Mass tort (40)
Asbestos (04)	Other contract (37)	Securities Migation (28)
Product flability (24)	Real Property	Environmental/Toxic tort (30)
Medical matpractice (45)	Eminent domain/inverse	Insurance coverage claims arising from the
	condemnation (14)	above listed provisionally complex case
Other PI/PD/WD (23)	Wrongful eviction (33)	types (41)
Non-PVPD/WD (Other) Tort	Other real property (26)	Enforcement of Judgment
Business tort/unfair business practice (07	, —	Enforcement of judgment (20)
Civil rights (08)	Unlawful Detainer	
Defamation (13)	Commercial (31)	Miscellaneous Civil Complaint
Fraud (16)	Residential (32)	RICO (27)
Intellectual property (19)	Drugs (38)	Other complaint (not specified above) (42)
Professional negligence (25)	Judicial Review	Miscellaneous Civil Petition
Other non-PI/PD/WD tort (35)	Asset forfeiture (05)	Partnership and corporate governance (21)
, <del></del>	Petition re: arbitration award (11)	Other petition (not specified above) (43)
Employment	Writ of mandate (02)	Other peption (not specified above) (40)
Wrongful termination (36)		
Other employment (15)	Other judicial review (39)	When as Court lifthe case is compley mark the
2. This case is is is not com	plex under rule 3.400 or the California h	Rules of Court. If the case is complex, mark the
factors requiring exceptional judicial mana		
a. Large number of separately repre		er of witnesses
b. Extensive motion practice raising	difficult or novel e Coordination	n with related actions pending in one or more courts
issues that will be time-consuming	a to resolve in other cou	nties, states, or countries, or in a federal court
c. Substantial amount of document		postjudgment judicial supervision
•		
3. Remedies sought (check all that apply): a	a. monetary b. nonmonetary;	declaratory or injunctive relief C. / punitive
<ol><li>Number of causes of action (specify):</li></ol>		DV FAV
5 This case is I is not a cla	ass action suit.	N SYFAX
6. If there are any known related cases, file	and serve a notice of related case. (You	may use form CM-015.)
		1100/6 1 1 100.00
Date: May 20, 2011	<b>\</b> ( )	
Angela M. Veronese		(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)
(TYPE OR PRINT NAME)	NOTICE	Inchainte at Light Authorities a contract
mi i iste i di fila libia ancona alemaksorith the	Seed namer filed in the action of horseed	ling (except small claims cases or cases filed
Plaintiff must file this cover sheet with the     Property Code. Family Code. of	: in supaper med in the action of proceed r Welfare and Institutions Code) (Cal. R	ules of Court, rule 3.220.) Failure to file may result
	Trongle and Historia Coops (Car. 1)	
in sanctions.  • File this cover sheet in addition to any co	ver sheet required by local court rule.	
If this case is complex under rule 3.400 e	t seq. of the California Rules of Court, y	ou must serve a copy of this cover sheet on all
ather postion to the action or proceeding.		
Unless this is a collections case under ru	le 3.740 or a complex case, this cover s	heet will be used for statistical purposes only.
		3740

**EXHIBIT D** 

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FRANK ALLEN.

1	Tracy Thompson (SBN 88173)
2	tt@millerlawgroup.com Adam J. Tullman (SBN 235694)
3	ajt@millerlawgroup.com MILLER LAW GROUP
4	A Professional Corporation 111 Sutter Street, Suite 700
5	San Francisco, CA 94104
	Tel. (415) 464-4300 Fax (415) 464-4336
6	Attornava for Defendant
7	Attorneys for Defendant RADIOSHACK CORPORATION
8	

### SUPERIOR COURT OF THE STATE OF CALIFORNIA SAN FRANCISCO COUNTY SUPERIOR COURT

Plaintiff,	NOTICE TO ADVERSE

NOTICE TO SUPERIOR COURT AND TO ADVERSE PARTY OF REMOVAL OF ACTION TO FEDERAL COURT

RADIO SHACK CORPORATION, DONNA O'CAMPO and Does 1 through 100,

Defendants.

Complaint filed: May 20, 2011

Case No.: CGC-11-511136

TO THE ABOVE-ENTITLED COURT AND TO PLAINTIFF AND HIS ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE THAT on June 23, 2011, RADIOSHACK CORPORATION ("Defendant") filed in the United States District Court for the Northern District of California its Notice of Removal of Action under 28 U.S.C. § 1441(b) (Diversity). A copy of that Notice of Removal is attached to this Notice as **Exhibit 1**, and is served and filed herewith.

PLEASE TAKE FURTHER NOTICE that, pursuant to 28 U.S.C. § 1446(d), the filing of said Notice of Removal of Action in the United States District Court, together with the filing of this Notice to the Superior Court and Adverse Party, effects the removal of this action, and that this Court is directed to "proceed no further unless and until the case has been remanded." 28 U.S.C. § 1446(d). Dated: June 20, 2011 MILLER LAW GROUP A Professional Corporation Adam J. Tullman Attorneys for Defendant RADIOSHACK CORPORATION 4823-2129-1785, v. 1